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10 Lead Counsel for Lead Plaintiff Maryland Electrical
Industry Pension Fund and Named Plaintiff York County on
behalf of the County of York Retirement Fund

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
OAKLAND DIVISION

14 YORK COUNTY ON BEHALF OF THE) Case No. 4:20-cv-07835-JSW (LJC)
15 COUNTY OF YORK RETIREMENT FUND,)
16 Individually and on Behalf of All Others) CLASS ACTION
17 Similarly Situated,)
18 Plaintiff,) [PROPOSED] ORDER PRELIMINARILY
19 vs.) APPROVING SETTLEMENT AND
20 HP INC., et al.,) PROVIDING FOR NOTICE
Defendants.)

21 || Page

1 WHEREAS, an action is pending before this Court entitled *York County on Behalf of the*
 2 *County of York Retirement Fund v. HP Inc., et al.*, No. 4:20-cv-07835-JSW (the “Action” or the
 3 “Litigation”);

4 WHEREAS, the parties having made application, pursuant to Federal Rule of Civil
 5 Procedure 23(e), for an order preliminarily approving the settlement of this Litigation, in
 6 accordance with a Stipulation of Settlement dated August 18, 2025 (the “Stipulation”), which,
 7 together with the Exhibits annexed thereto, sets forth the terms and conditions for a proposed
 8 settlement of the Action and for dismissal of the Action with prejudice upon the terms and
 9 conditions set forth therein; and the Court having read and considered the Stipulation and the
 10 Exhibits annexed thereto; and

11 WHEREAS, unless otherwise defined, all terms used herein have the same meanings as set
 12 forth in the Stipulation.

13 NOW, THEREFORE, IT IS HEREBY ORDERED:

14 1. After a preliminary review, the Settlement appears to be fair, reasonable, and
 15 adequate. The Settlement: (a) resulted from arm’s-length negotiations overseen by an experienced
 16 mediator; (b) eliminates the risks to the Parties of continued litigation; (c) does not provide
 17 preferential treatment to Lead Plaintiff or to segments of the Class; (d) does not provide excessive
 18 compensation to Lead Counsel; and (e) appears to fall within the range of possible approval and
 19 is therefore sufficiently fair, reasonable, and adequate to warrant providing notice of the Settlement
 20 to the Class. Accordingly, the Court does hereby preliminarily approve the Stipulation and the
 21 Settlement set forth therein, subject to further consideration at the Settlement Hearing described
 22 below.

23 2. A hearing (the “Settlement Hearing”) shall be held before this Court on
 24 ~~2025~~
January 12, 2026, at 9:00 a.m., at the United States District Court for the Northern District of
 25 California, Ronald V. Dellums Federal Building & United States Courthouse, Courtroom 5 – 2nd
 26 Floor, 1301 Clay Street, Oakland, CA 94612, for the following purposes:

27 (a) to finally determine whether the Settlement is fair, reasonable, and
 28 adequate, and should be approved by the Court;

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1 (b) to finally determine whether Judgment as provided under the Stipulation
 2 should be entered, dismissing the Action on the merits and with prejudice, and to determine
 3 whether the release by the Class of the Released Defendant Parties as set forth in the Stipulation
 4 should be ordered, along with a permanent injunction barring efforts to prosecute any Released
 5 Plaintiff's Claims or Released Defendants' Claims extinguished by the Settlement;

6 (c) to finally determine whether the proposed Plan of Allocation for the
 7 distribution of the Net Settlement Fund is fair and reasonable and should be approved by the Court;

8 (d) to consider the application of Lead Counsel for an award of attorneys' fees
 9 and expenses;

10 (e) to consider the application by Lead Plaintiff for an award pursuant to 15
 11 U.S.C. §78u-4(a)(4);

12 (f) to consider Class Members' responses to the Settlement, Plan of Allocation,
 13 or application for fees and expenses; and

14 (g) to rule upon such other matters as the Court may deem appropriate.

15 3. The Court may adjourn the Settlement Hearing without further notice to the
 16 Members of the Class, and reserves the right to approve the Settlement with such modifications as
 17 may be agreed upon or consented to by the Parties and without further notice to the Class where
 18 to do so would not impair Class Members' rights under Rule 23 of the Federal Rules of Civil
 19 Procedure and due process of law. The Court further reserves the right to enter Judgment
 20 approving the Settlement and dismissing the Complaint, on the merits and with prejudice,
 21 regardless of whether it has approved the Plan of Allocation or awarded attorneys' fees and
 22 expenses.

23 4. Pursuant to Rule 23 of the Federal Rules of Civil Procedure, the Court hereby
 24 certifies, for the sole purpose of effectuating the Settlement, a Class defined as follows:

25 All Persons who purchased or otherwise acquired HP common stock between
 26 November 5, 2015, and June 21, 2016, inclusive, and were allegedly damaged
 27 thereby. Excluded from the Class are: Defendants and their families, the officers
 28 and directors of the Company, at all relevant times, members of their immediate
 families and their legal representatives, heirs, successors or assigns, and any entity
 in which Defendants have or had a controlling interest. Also excluded from the

1 Class is any Person who properly excludes himself, herself, itself, or themselves
 2 from the Class by submitting a valid and timely request for exclusion.
 3

4 5. Pursuant to Rule 23 of the Federal Rules of Civil Procedure, and for purposes of
 5 the Settlement only, Maryland Electrical Industry Pension Fund is appointed as representative of
 6 the Class, and Lead Counsel Robbins Geller Rudman & Dowd LLP is appointed as Class Counsel
 7 for the Class.

8 6. With respect to the Class, this Court finds, for purposes of effectuating the
 9 Settlement only, that the prerequisites for a class action under Rules 23(a) and (b)(3) of the Federal
 10 Rules of Civil Procedure have been satisfied in that: (a) the Members of the Class are so numerous
 11 that joinder of all Class Members in the Action is impracticable; (b) there are questions of law and
 12 fact common to the Class; (c) the claims of the Lead Plaintiff are typical of the claims of the Class;
 13 (d) the Lead Plaintiff and Lead Counsel have fairly and adequately represented and protected the
 14 interests of all Class Members; (e) the questions of law and fact common to the Class predominate
 15 over any questions affecting only individual Members of the Class; and (f) a class action is superior
 16 to other available methods for the fair and efficient adjudication of the controversy, considering:
 17 (i) the interests of the Members of the Class in individually controlling the prosecution of the
 18 separate actions; (ii) the extent and nature of any litigation concerning the controversy already
 19 commenced by Members of the Class; (iii) the desirability or undesirability of concentrating the
 20 litigation of these claims in this particular forum; and (iv) the difficulties likely to be encountered
 21 in the management of the Action.

22 7. The Court approves, as to form and content, the Notice of Pendency and Proposed
 23 Settlement of Class Action (the “Notice”), the Proof of Claim and Release form (the “Proof of
 24 Claim”), and the Summary Notice of Proposed Settlement of Class Action (“Summary Notice”),
 25 annexed hereto as Exhibits 1, 2, and 3, respectively, and finds that the distribution and publication
 26 of the Summary Notice, substantially in the manner and form set forth in ¶10 of this Order, meet
 27 the requirements of Federal Rule of Civil Procedure 23 and due process, and is the best notice
 28 practicable under the circumstances and shall constitute due and sufficient notice to all Persons
 entitled thereto.

1 8. The firm of Verita Global (“Claims Administrator”) is hereby appointed to
 2 supervise and administer the notice procedure as well as the processing of Claims as more fully
 3 set forth below.

4 9. HP shall obtain from HP’s transfer agent and provide to Lead Plaintiff, within five
 5 (5) business days after the Court enters this Order, a list in electronic format, containing the names
 6 and addresses and email addresses, if available, of known, registered shareholders of record of HP
 7 common stock during the Class Period, as set forth in the records of HP’s transfer agent, for the
 8 purposes of providing notice to the Class. It shall be solely Lead Counsel’s responsibility to
 9 disseminate notice to the Class in accordance with the Stipulation and as ordered by the Court.
 10 Class Members shall have no recourse as to the Released Defendant Parties with respect to any
 11 claims they may have that arise from any failure or deficiency of the notice process.

12 10. Lead Counsel, through the Claims Administrator, shall commence dissemination
 13 of the Summary Notice, substantially in the form annexed hereto, within twenty-one (21) calendar
 14 days after the Court signs this Order (the “Notice Date”), by email or first-class mail to all Class
 15 Members who can be identified with reasonable effort. Contemporaneously with the emailing or
 16 mailing of the Summary Notice, the Claims Administrator shall cause the Notice and Proof of
 17 Claim, substantially in the forms attached hereto, to be posted on the Settlement website at
 18 www.hpqsecuritiessettlement.com, from which copies of the documents can be downloaded. For
 19 all Summary Notices returned as undeliverable, the Claims Administrator shall use its best efforts
 20 to locate updated addresses or email addresses. No later than seven (7) calendar days after the
 21 Notice Date, the Claims Administrator shall cause the Summary Notice to be published once in
 22 *The Wall Street Journal* and once over a national newswire service.

23 11. At least seven (7) calendar days prior to the Settlement Hearing, Lead Counsel shall
 24 serve on Defendants’ Counsel and file with the Court proof, by affidavit or declaration, of such
 25 emailing, mailing, and publishing.

26 12. Nominees who purchased or otherwise acquired HP common stock for the
 27 beneficial ownership of Class Members during the Class Period shall: (a) within seven (7) calendar
 28 days of receipt of the Summary Notice request from the Claims Administrator sufficient copies of
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1 the Summary Notice to forward to all such beneficial owners and within seven (7) calendar days
2 of receipt of the Summary Notice forward it to all such beneficial owners; or (b) within seven (7)
3 calendar days of receipt of the Summary Notice, send a list of the names and email addresses or
4 physical addresses where an email address is unavailable, of all such beneficial owners to the
5 Claims Administrator in which event the Claims Administrator shall promptly email or mail the
6 Summary Notice to such beneficial owners. If a nominee elects to send the Summary Notice to
7 beneficial owners, such nominee is directed to email or mail (where an email is unavailable) the
8 Summary Notice within seven (7) calendar days of receipt of those documents from the Claim
9 Administrator, and upon such emailing or mailing, the nominee shall send a statement to the
10 Claims Administrator confirming that the emailing or mailing was made as directed, and the
11 nominee shall retain the list of names and addresses for use in connection with any possible future
12 notice to the Class. Upon full compliance with these instructions, including the timely emailing
13 or mailing of the Summary Notice to beneficial owners, such nominees may seek reimbursement
14 of their reasonable expenses actually incurred in complying with these instructions by providing
15 the Claims Administrator with proper documentation supporting the expenses for which
16 reimbursement is sought and reflecting compliance with these instructions. Reasonable out-of-
17 pocket expenses actually incurred in connection with the foregoing includes up to \$0.03 for
18 providing names, addresses, and email addresses to the Claims Administrator per record; up to a
19 maximum of \$0.03 per Summary Notice mailed by nominee, plus postage at the rate used by the
20 Claims Administrator; or \$0.03 per Summary Notice sent by email. Such properly documented
21 expenses incurred by nominees in compliance with the terms of these instructions will be paid
22 from the Settlement Fund.

23 13. The Court finds that the form and content of the notice program described herein
24 and the methods set forth herein, for notifying the Class of the Settlement and its terms and
25 conditions, the Fee and Expense Application, and the Plan of Allocation meet the requirements of
26 Rule 23 of the Federal Rules of Civil Procedure, the Private Securities Litigation Reform Act of
27 1995, and due process, constitute the best notice practicable under the circumstances, and shall
28 constitute due and sufficient notice to all Persons entitled thereto.

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1 14. In order to be entitled to participate in the recovery from the Settlement Fund after
2 the Effective Date, each Class Member shall take the following action and be subject to the
3 following conditions:

- 4 (a) A properly completed and executed Proof of Claim must be submitted to
5 the Claims Administrator, at the post office box or electronic mailbox
6 indicated in the Notice and Proof of Claim, postmarked no later than ninety
7 (90) calendar days from the Notice Date. Such deadline may be further
8 extended by Order of the Court. Each Proof of Claim shall be deemed to
9 have been submitted when legibly postmarked (if properly addressed and
10 mailed by first-class mail). Any Proof of Claim submitted in any other
11 manner shall be deemed to have been submitted when it was actually
12 received by the Claims Administrator at the address designated in the
13 Notice.
- 14 (b) The Proof of Claim submitted by each Class Member must satisfy the
15 following conditions: (i) it must be properly filled out, signed, and
16 submitted in a timely manner in accordance with the provisions of the
17 preceding subparagraph; (ii) it must be accompanied by adequate
18 supporting documentation for the transactions reported therein, in the form
19 of broker confirmation slips, broker account statements, an authorized
20 statement from the broker containing the transactional information found in
21 a broker confirmation slip, or such other documentation as is deemed
22 adequate by the Claims Administrator or Lead Counsel; (iii) if the person
23 executing the Proof of Claim is acting in a representative capacity, a
24 certification of his, her, its, or their current authority to act on behalf of the
25 Class Member must be provided with the Proof of Claim; and (iv) the Proof
26 of Claim must be complete and contain no material deletions or
27 modifications of any of the printed matter contained therein and must be
28 signed under penalty of perjury.

(c) Once the Claims Administrator has considered a timely submitted Proof of Claim, it shall determine whether such Claim is valid, deficient, or rejected. For each Claim determined to be either deficient or rejected, the Claims Administrator shall send a deficiency letter or rejection letter as appropriate, describing the basis on which the Claim was so determined. Persons who timely submit a Proof of Claim that is deficient or otherwise rejected shall be afforded a reasonable time (at least twenty (20) calendar days) to cure such deficiency if it shall appear that such deficiency may be cured.

(d) For the filing of and all determinations concerning their Proof of Claim, each Class Member shall submit to the jurisdiction of the Court.

11 15. Any Class Member who does not timely submit a valid and timely Proof of Claim
12 within the time provided for shall be barred from sharing in the distribution of the proceeds of the
13 Settlement Fund, but will in all other respects be subject to and bound by the provisions of the
14 Stipulation and the Judgment, if entered. Notwithstanding the foregoing, Lead Counsel shall have
15 the discretion (but not an obligation) to accept late-submitted Claims for processing by the Claims
16 Administrator so long as distribution of the Net Settlement Fund to Authorized Claimants is not
17 materially delayed thereby, but will bear no liability for failing to accept such late Claims.

18 16. Any Member of the Class may enter an appearance in the Action, at their own
19 expense, individually or through counsel of their own choice. If they do not enter an appearance,
20 they will be represented by Lead Counsel.

21 17. All Class Members shall be bound by all determinations and judgments in this
22 Action, whether favorable or unfavorable, unless such persons request to be excluded, or “opt out,”
23 from the Class. A Class Member wishing to be excluded from the Class must submit to the Claims
24 Administrator a request for exclusion (“Request for Exclusion”), by first-class mail such that it is
25 postmarked no later than twenty-one (21) calendar days prior to the Settlement Hearing, or
26 January 12, 2025, to the address listed in the Notice. A Request for Exclusion must be signed
27 and must legibly state: (a) the name, address, and telephone number of the Person requesting
28 exclusion; (b) the number of shares of HP common stock that the Person requesting exclusion (i)

1 owned as of the opening of trading on November 5, 2015, and (ii) purchased, otherwise acquired,
 2 and/or sold during the Class Period, as well as the number of shares, dates, and prices for each
 3 such purchase, other acquisition, and sale; and (c) that the Person wishes to be excluded from the
 4 Class in *York County on Behalf of the County of York Retirement Fund v. HP Inc., et al.*, No. 4:20-
 5 cv-07835-JSW. All Persons who submit valid and timely Requests for Exclusion in the manner
 6 set forth in this paragraph shall have no rights under the Stipulation, shall not share in the
 7 distribution of the Net Settlement Fund, and shall not be bound by the Stipulation or any Final
 8 Judgment. Unless otherwise ordered by the Court, any Class Member who does not submit a valid
 9 and timely written Request for Exclusion as provided by this paragraph shall be bound by the
 10 Stipulation.

11 18. The Claims Administrator or Lead Counsel shall cause to be provided to
 12 Defendants' Counsel copies of all Requests for Exclusion as expeditiously as possible, but in no
 13 event later than five (5) calendar days from receipt thereof, and in any event, copies of all timely
 14 Requests for Exclusion shall be provided to Defendants' Counsel at least fourteen (14) calendar
 15 days before the Settlement Hearing.

16 19. The Court will consider comments or objections to the Settlement, the Plan of
 17 Allocation, or Lead Counsel's request for an award of attorneys' fees and expenses, only if such
 18 comments or objections and any supporting papers are submitted to the Court either by mailing
 19 them to the Clerk of the Court, United States District Court for the Northern District of California,
 20 1301 Clay Street, 1st Floor, Oakland, California 94612, or by filing them in person at any location
 21 of the United States District Court for the Northern District of California. Such comments or
 22 objections must be filed or postmarked at least twenty-one (21) calendar days prior to the
 23 Settlement Hearing, or December 22, 2025. Attendance at the Settlement Hearing is not necessary
 24 but any Person wishing to be heard orally in opposition to the Settlement, the Plan of Allocation,
 25 or the application for attorneys' fees and expenses is required to indicate in their written objection
 26 whether they intend to appear at the Settlement Hearing. The notice of objection must clearly
 27 identify the case name and number (*York County on Behalf of County of York Ret. Fund v. HP*
 28 *Inc., et al.*, 4:20-cv-07835-JSW) and include documentation establishing the objecting Person's
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1 membership in the Class, including the number of shares of HP common stock that the objecting
 2 Person (i) owned as of the opening of trading on November 5, 2015, and (ii) purchased, otherwise
 3 acquired, and/or sold during the Class Period, as well as the dates and prices for each such
 4 purchase, other acquisition or sale, and contain a statement of reasons for the objection, including
 5 whether it applies only to the objector, to a specific subset of the Class, or to the entire Class. The
 6 objection must identify all other class action settlements the objector and his, her, or its counsel
 7 has previously objected to in the prior five years, copies of any papers, briefs, or other documents
 8 upon which the objection is based, and contain the objector's signature, even if represented by
 9 counsel. Any Member of the Class who does not make his, her, or its objection in the manner
 10 provided shall be deemed to have waived such objection and shall forever be foreclosed from
 11 making any objection to the fairness or adequacy of the Settlement as set forth in the Stipulation,
 12 to the Plan of Allocation, or to the award of attorneys' fees and expenses to Lead Counsel unless
 13 otherwise ordered by the Court. Class Members do not need to appear at the Settlement Hearing
 14 or take any other action to indicate their approval.

15 20. All funds held by the Escrow Agent shall be deemed and considered to be *in*
 16 *custodia legis* of the Court, and shall remain subject to the jurisdiction of the Court, until such time
 17 as such funds shall be distributed pursuant to the Stipulation and/or further order(s) of the Court.

18 21. All opening briefs and supporting documents in support of the Settlement, the Plan
 19 of Allocation, and/or any application by Lead Counsel for attorneys' fees and expenses shall be
 20 filed and served no later than twenty-one (21) calendar days prior to the objection and opt-out
 21 deadline, or December 22, 2025. Replies to any objections shall be filed and served at least seven
 22 (7) calendar days prior to the Settlement Hearing, or January 5, 2025.

23 22. The Released Defendant Parties shall have no responsibility for the Plan of
 24 Allocation or any application for attorneys' fees or expenses submitted by Lead Counsel or Lead
 25 Plaintiff, and such matters will be considered separately from the fairness, reasonableness, and
 26 adequacy of the Settlement. Any order or proceeding relating to the Plan of Allocation or any
 27 application for attorneys' fees or expenses, or any appeal from any order relating thereto or reversal
 28

1 or modification thereof, shall not operate to terminate or cancel the Stipulation, or affect or delay
 2 the finality of the Judgment approving the Stipulation and the settlement of the Litigation.

3 23. At or after the Settlement Hearing, the Court shall determine whether the Plan of
 4 Allocation proposed by Lead Counsel, and any application for attorneys' fees or payment of
 5 expenses or award to Lead Plaintiff shall be approved.

6 24. All actual notice and related administration expenses, including reasonable costs
 7 and expenses actually incurred in identifying and notifying Class Members, as well as
 8 administering the Settlement Fund, shall be paid as set forth in the Stipulation. In the event the
 9 Court does not approve the Settlement, or the Settlement is terminated, canceled, or the Effective
 10 Date otherwise fails to occur for any reason, including, without limitation, in the event the
 11 Judgment is reversed or vacated or altered following any appeal taken therefrom, or is successfully
 12 collaterally attacked, neither Lead Counsel, the Class, nor the Claims Administrator shall have any
 13 obligation to repay any Notice and Administration Expenses, Taxes, and Tax Expenses actually
 14 paid, or incurred, pursuant to ¶¶2.11 or 2.13 of the Stipulation.

15 25. All fees, costs, and expenses incurred in identifying and notifying Members of the
 16 Class shall be paid from the Settlement Fund and in no event shall any of the Released Defendant
 17 Parties bear any responsibility, liability, or obligation for such fees, costs, or expenses.

18 26. Neither the Stipulation, nor any of its terms or provisions, nor any of the
 19 negotiations or proceedings connected with it, shall be construed or deemed to be evidence of or
 20 constitute an admission, concession, or finding with respect to any allegation in the Litigation, or
 21 any fault, negligence, liability, wrongdoing, or damage of any kind.

22 27. If the Stipulation and the Settlement set forth therein fail to become effective as
 23 defined in the Stipulation or are not approved or consummated for any reason whatsoever, the
 24 Stipulation and Settlement and all proceedings had in connection therewith shall be null and void,
 25 of no further force or effect, and without prejudice to any Settling Party, and shall not be used in
 26 this Litigation or in any other proceeding for any purpose. In any such event, the Settling Parties
 27 shall not forfeit or waive any factual or legal defense or contention in the Litigation and shall be
 28 deemed to have reverted to their respective litigation positions as of July 28, 2025.

1 28. All proceedings in the Action are stayed until further order of this Court, except as
2 may be necessary to implement the Settlement or comply with the terms of the Stipulation.
3 Pending final determination of whether the proposed Settlement should be approved, neither the
4 Lead Plaintiff, nor any Class Member, directly or indirectly, representatively, or in any other
5 capacity, shall commence or prosecute against any of the Released Defendant Parties, any action
6 or proceeding in any court or tribunal asserting any of the Released Plaintiff's Claims.

7 29. The Court's orders entered during this Action relating to the confidentiality of
8 information shall survive this Settlement.

9 30. The Court may approve the Settlement, with such modifications as may be agreed
10 to by the Settling Parties, if appropriate, without further notice to the Class.

11 IT IS SO ORDERED.

12 DATED: September 22, 2025


THE HONORABLE JEFFREY S. WHITE
SENIOR UNITED STATES DISTRICT JUDGE

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EXHIBIT 1

1 ROBBINS GELLER RUDMAN
2 & DOWD LLP
2 DARREN J. ROBBINS (168593)
3 ELLEN GUSIKOFF STEWART (144892)
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10 Lead Counsel for Lead Plaintiff Maryland Electrical
Industry Pension Fund and Named Plaintiff York County on
behalf of the County of York Retirement Fund

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
OAKLAND DIVISION

15 YORK COUNTY ON BEHALF OF THE) Case No. 4:20-cv-07835-JSW (LJC)
COUNTY OF YORK RETIREMENT FUND,)
Individually and on Behalf of All Others)
16 Similarly Situated,) CLASS ACTION
17) NOTICE OF PENDENCY AND PROPOSED
Plaintiff,) SETTLEMENT OF CLASS ACTION
18 vs.) EXHIBIT 1
19 HP INC., et al.,)
20 Defendants.)

1 **TO: ALL PERSONS WHO PURCHASED OR OTHERWISE ACQUIRED HP INC.
2 (“HP” OR THE “COMPANY”) COMMON STOCK BETWEEN NOVEMBER 5,
3 2015, AND JUNE 21, 2016, INCLUSIVE, AND ARE NOT OTHERWISE
4 EXCLUDED FROM THE CLASS (THE “CLASS”)**

5 PLEASE READ THIS NOTICE CAREFULLY AND IN ITS ENTIRETY. YOUR RIGHTS
6 MAY BE AFFECTED BY PROCEEDINGS IN THIS ACTION. PLEASE NOTE THAT IF YOU
7 ARE A CLASS MEMBER, YOU MAY BE ENTITLED TO SHARE IN THE PROCEEDS OF
8 THE SETTLEMENT DESCRIBED IN THIS NOTICE. TO CLAIM YOUR SHARE OF THE
9 SETTLEMENT PROCEEDS, YOU MUST SUBMIT A VALID PROOF OF CLAIM AND
10 RELEASE FORM (“PROOF OF CLAIM”) **POSTMARKED OR SUBMITTED ONLINE ON
11 OR BEFORE _____, 2025.**

12 This Notice of Pendency and Proposed Settlement of Class Action (“Notice”) has been provided
13 to you pursuant to Rule 23 of the Federal Rules of Civil Procedure and an Order of the United
14 States District Court for the Northern District of California (the “Court”). The purpose of this
15 Notice is to inform you of the pendency of this class action (the “Action” or the “Litigation”)
16 between Lead Plaintiff and Defendants HP, Dion J. Weisler, Catherine A. Lesjak, Enrique Lores,
17 and Richard Bailey and the proposed \$39,000,000 settlement reached therein (the “Settlement”)
18 and of the hearing to be held by the Court to consider the fairness, reasonableness, and adequacy
19 of the Settlement as well as counsel’s application for fees and expenses. This Notice describes
20 what steps you may take in relation to the Settlement and this class action.¹

21 This Notice is not intended to be, and should not be construed as, an expression of any opinion by
22 the Court with respect to the truth of the allegations in the Action as to any of the Defendants or
23 the merits of the claims or defenses asserted by or against the Defendants. This Notice is solely to
24 advise you of the pendency and proposed Settlement of the Action and of your rights in connection
25 therewith.

YOUR LEGAL RIGHTS AND OPTIONS IN THIS SETTLEMENT	
SUBMIT A PROOF OF CLAIM	The only way to be eligible to receive a payment from the Settlement. Proofs of Claim must be postmarked or submitted online on or before _____, 2025.
EXCLUDE YOURSELF	Get no payment. This is the only option that <i>potentially</i> allows you to ever be part of any other lawsuit against the Defendants or any other Released Defendant Parties about the legal claims being resolved by this Settlement. Should you elect to exclude yourself from the Class you should understand that Defendants and the other Released Defendant Parties will have the right to assert any and all defenses they may have to any claims that you may seek to assert, including, without limitation, the defense that any such claims are untimely under applicable statutes of limitations and statutes of repose. Exclusions must be postmarked or received on or before _____, 2025.
OBJECT	Write to the Court about why you do not like the Settlement, the Plan of Allocation, and/or the request for attorneys’ fees and expenses. You will still be a Member of the Class. Objections

26 ¹ All capitalized terms used in this Notice that are not otherwise defined herein shall have the
27 meanings provided in the Stipulation of Settlement dated August 18, 2025 (the “Settlement
28 Agreement” or “Stipulation”), which is available on the website
www.hpqsecuritiessettlement.com.

YOUR LEGAL RIGHTS AND OPTIONS IN THIS SETTLEMENT	
	must be <i>filed or postmarked no later than</i> _____, 2025. If you submit a written objection, you may (but do not have to) attend the hearing.
ATTEND HEARING THE ON _____, 2025	Ask to speak in Court about the fairness of the Settlement. Requests to speak must be <i>filed or postmarked no later than</i> _____, 2025.
DO NOTHING	Receive no payment. You will, however, still be a Member of the Class, which means that you give up your right to ever be part of any other lawsuit against the Defendants or any other Released Defendant Parties about the legal claims being resolved by this Settlement and you will be bound by any judgments or orders entered by the Court in the Action.

SUMMARY OF THIS NOTICE

Statement of Class Recovery

Pursuant to the Settlement described herein, a \$39 million settlement fund has been established. Based on Lead Plaintiff's estimate of the number of HP shares eligible to recover under the Settlement, the average distribution per common share under the Plan of Allocation is approximately \$0.19 before deduction of any taxes on the income earned on the Settlement Amount thereof, notice and administration costs, and the attorneys' fees and expenses as determined by the Court. **Class Members should note, however, that these are only estimates.** A Class Member's actual recovery will be a proportion of the Net Settlement Fund determined by that claimant's allowed claim amount as compared to the total allowed claims of all Class Members who submit acceptable Proofs of Claim. An individual Class Member may receive more or less than this estimated average amount. See Plan of Allocation set forth and discussed at pages ____ below for more information on the calculation of your claim.

Statement of Potential Outcome of Case

The Parties disagree on both liability and damages and do not agree on the amount of damages that would be recoverable if the Class prevailed on each claim alleged. Defendants deny that they are liable to the Class and deny that the Class has suffered any damages. The issues on which the Parties disagree are many, but include: (1) whether Defendants engaged in conduct that would give rise to any liability to the Class under the federal securities laws; (2) whether Defendants have valid defenses to any such claims of liability; (3) the appropriate economic model for determining the amount by which the price of HP common stock was allegedly artificially inflated (if at all) during the Class Period; (4) the amount, if any, by which the price of HP common stock was allegedly artificially inflated (if at all) during the Class Period; (5) the effect of various market forces on the prices of HP common stock at various times during the Class Period; (6) the extent to which external factors influenced the prices of HP common stock at various times during the Class Period; (7) the extent to which the various matters that Lead Plaintiff alleged were materially false or misleading influenced (if at all) the prices of HP common stock at various times during the Class Period; and (8) the extent to which the various allegedly adverse material facts that Lead Plaintiff alleged were misstated or omitted influenced (if at all) the prices of HP common stock at various times during the Class Period.

1 **Statement of Attorneys' Fees and Expenses Sought**

2 Since the Action's inception, Lead Counsel has expended considerable time and effort in
 3 the prosecution of this Action on a wholly contingent basis and has advanced the expenses of the
 4 Action in the expectation that if it was successful in obtaining a recovery for the Class, it would
 5 be paid from such recovery. Lead Counsel will apply to the Court for an award of attorneys' fees
 6 not to exceed thirty percent (30%) of the Settlement Amount, plus expenses not to exceed
 7 \$250,000, plus interest earned on both amounts. In addition, Lead Plaintiff may seek an award not
 8 to exceed \$7,500 in connection with its representation of the Class. If the amounts requested are
 9 approved by the Court, the average cost per HP common share will be approximately \$0.13.

10 **Further Information**

11 For further information regarding the Action, this Notice, or to review the Stipulation,
 12 please contact the Claims Administrator toll-free at 888-808-1639 or visit the website
 13 www.hpqsecuritiessettlement.com.

14 You may also contact Lead Counsel for the Class: Ellen Gusikoff Stewart, Robbins Geller
 15 Rudman & Dowd LLP, 655 West Broadway, Suite 1900, San Diego, CA 92101, 800-449-4900,
 16 settlementinfo@rgrdlaw.com.

17 **Please Do Not Call the Court or Defendants with Questions About the Settlement.**

18 **Reasons for the Settlement**

19 Lead Plaintiff's principal reason for entering into the Settlement is the benefit to the Class
 20 now, without further risk or the delays inherent in continued litigation. The cash benefit under the
 21 Settlement must be considered against the significant risk that a smaller recovery – or, indeed, no
 22 recovery at all – might be achieved after contested motions, trial, and likely appeals, a process that
 23 could last several years into the future. For the Defendants, who have denied and continue to deny
 24 all allegations of liability, fault, or wrongdoing whatsoever, and deny that the Class was harmed
 25 or suffered any damages as a result of the conduct alleged in the Action, the principal reason for
 26 entering into the Settlement is to eliminate the uncertainty, costs, distractions, and burdens inherent
 27 in any action, especially in complex cases such as this Action.

28 **BASIC INFORMATION**

1 **1. What is the purpose of this Notice?**

2 This Notice is being provided to you pursuant to an Order of a U.S. District Court because
 3 you or someone in your family or an investment account for which you serve as custodian may
 4 have purchased or acquired HP common stock between November 5, 2015, and June 21, 2016,
 5 inclusive (the "Class Period").

6 This Notice explains the class action lawsuit, the Settlement, Class Members' legal rights
 7 in connection with the Settlement, what benefits are available, who is eligible for them, and how
 8 to get them.

9 The Court in charge of the Action is the United States District Court for the Northern
 10 District of California (the "Court"), and the case is known as *York County on Behalf of the County*
of York Retirement Fund v. HP Inc., et al., No. 4:20-cv-07835-JSW. The case is assigned to the
 11 Honorable Jeffrey S. White, Senior United States District Judge. The entity representing the Class
 12 is the Lead Plaintiff, and the company and individuals it sued and who have now settled are called
 13 the Defendants.

1 **2. What is this lawsuit about?**

2 The initial complaint was filed in the Action on November 5, 2020. On February 11, 2021,
 3 the Court appointed Maryland Electrical Industry Pension Fund as Lead Plaintiff and approved its
 4 selection of Robbins Geller Rudman & Dowd LLP as Lead Counsel.

5 On April 21, 2021, Lead Plaintiff filed the Consolidated Complaint for Violation of the
 6 Federal Securities Laws (the “Complaint”) alleging violations of §§10(b) and 20(a) of the
 7 Securities Exchange Act of 1934 (the “Exchange Act”). Lead Plaintiff alleges that Defendants
 8 made materially false and misleading statements and/or omissions concerning financial and
 9 channel inventory metrics concerning HP’s Supplies business. Lead Plaintiff alleges that persons
 10 who purchased or otherwise acquired HP common stock during the Class Period suffered
 11 economic losses when the price of HP common stock declined as a result of alleged corrective
 12 disclosures, including disappointing margins and revenue, and the need to reduce excess Supplies
 13 inventory. Defendants deny Lead Plaintiff’s allegations. On June 21, 2021, Defendants moved to
 14 dismiss the Complaint. Lead Plaintiff filed its opposition to the motion on August 20, 2021, and
 15 Defendants filed their reply on October 4, 2021. On March 3, 2022, the Court issued an order
 16 granting Defendants’ motion to dismiss on statute of limitations grounds.

17 Lead Plaintiff timely appealed the motion to dismiss order to the Ninth Circuit Court of
 18 Appeals, and after full briefing, on April 11, 2023, the Ninth Circuit reversed the Court’s holding,
 19 and remanded the case to the Court for further proceedings.

20 On July 21, 2023, Defendants filed a renewed motion to dismiss (the “Renewed Motion”).
 21 Lead Plaintiff opposed the Renewed Motion, and Defendants filed their reply. On March 27, 2024,
 22 the Court granted in part and denied in part the Renewed Motion.²

23 On April 26, 2024, Defendants filed a Motion to Certify Order for Interlocutory Appeal
 24 Under 28 U.S.C. §1292(b). Lead Plaintiff opposed the motion; Defendants filed a reply. The
 25 Court granted the Motion for Interlocutory Appeal on June 3, 2024. On August 8, 2024, the Ninth
 26 Circuit granted Defendants’ Petition to Appeal Under 28 U.S.C. §1292(b). Defendants filed their
 27 opening brief in support of their appeal on October 28, 2024. Lead Plaintiff filed an answering
 28 brief on December 27, 2024. Defendants filed their reply on February 18, 2025. No oral argument
 1 date had been set when this Settlement was reached.

2 On April 30, 2025, Lead Plaintiff and Defendants participated in a confidential mediation
 3 session with David M. Murphy (of Phillips ADR), an experienced mediator. The mediation
 4 session was preceded by the submission and exchange of mediation statements by both Lead
 5 Plaintiff and Defendants. The Parties engaged in day-long good-faith negotiations, but the
 6 litigation did not settle. The Parties continued their negotiations through Mr. Murphy, and on July
 7 12, 2025, Mr. Murphy issued a mediator’s proposal to settle the Litigation for \$39 million, to be
 8 paid by Defendants and/or their insurers on behalf of Defendants for the benefit of the Class,
 9 subject to the negotiation of the terms of a stipulation of settlement and approval by the Court.
 10 The Parties accepted the proposal on July 17, 2025.

11 The Parties’ agreement to settle the Litigation was memorialized in a term sheet executed
 12 on July 28, 2025.

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² The Court expressly granted defendants Lores’ and Bailey’s motion to dismiss for statements or actions prior to April 21, 2016. Defendants have taken – and maintain – the position that this ruling necessarily dismissed all claims against defendants Lores and Bailey.

1 The Stipulation has been duly executed by Settling Parties on behalf of their respective
 2 clients, and reflects the final and binding agreement among the Settling Parties.

3 Defendants deny all allegations of fault, liability, wrongdoing, or damages against them
 4 arising out of any of the statements or omissions alleged, or that could have been alleged, in the
 5 Litigation. Defendants deny that they have committed any act or made any materially misleading
 6 statement giving rise to any liability under the federal securities laws. Defendants deny that they
 7 have committed any wrongdoing or violations of law as alleged in any complaint in the Litigation
 8 or that could have been alleged in the Litigation. Defendants deny that they made any material
 9 misstatement or omission; that the price of HP common stock was artificially inflated during the
 10 Class Period as a result; that any Class Member, including Lead Plaintiff, relied on any challenged
 11 statement or purported omission; and that any Class Member, including Lead Plaintiff, suffered
 12 any damages; or that any Class Member, including Lead Plaintiff, was harmed by any conduct
 13 alleged in the Litigation or that could have been alleged therein. Defendants also deny that
 14 Defendants acted with the requisite intent to commit a violation of the federal securities laws.
 15 Defendants maintain that their conduct was at all times proper and in compliance with applicable
 16 law. Defendants continue to believe that the claims asserted against them in the Action are without
 17 merit. Defendants also maintain that they have meritorious defenses to all claims alleged in the
 18 Litigation.

19 **3. Why is there a settlement?**

20 The Court has not decided in favor of Defendants or the Lead Plaintiff. Instead, both sides
 21 agreed to the Settlement to avoid the distraction, costs, and risks of further litigation, and Lead
 22 Plaintiff agreed to the Settlement in order to ensure that Class Members will receive compensation.

23 **WHO IS IN THE SETTLEMENT**

24 **4. How do I know if I am a Member of the Class?**

25 The Court directed that everyone who fits this description is a Class Member: all Persons
 26 who purchased or otherwise acquired HP common stock between November 5, 2015, and June 21,
 27 2016, inclusive, and were allegedly damaged thereby, except those Persons and entities that are
 28 excluded.

29 Excluded from the Class are: Defendants and their families, the officers and directors of
 30 the Company, at all relevant times, members of their immediate families and their legal
 31 representatives, heirs, successors or assigns, and any entity in which Defendants have or had a
 32 controlling interest. Also excluded from the Class are those Persons who timely and validly
 33 exclude themselves therefrom by submitting a request for exclusion in accordance with the
 34 requirements set forth in question 11 below.

35 **Please Note:** Receipt of this Notice or the Summary Notice does not mean that you are a
 36 Class Member or that you will be entitled to receive a payment from the Settlement. If you are a
 37 Class Member and you wish to be eligible to participate in the distribution of the proceeds from
 38 the Settlement, you are required to submit the Proof of Claim and the required supporting
 39 documentation as set forth therein postmarked or submitted online at
 40 www.hpqsecuritiessettlement.com on or before _____, 2025.

41 **5. What if I am still not sure if I am included?**

42 If you are still not sure whether you are included, you can ask for free help. You can
 43 contact the Claims Administrator toll-free at 888-808-1639, or you can fill out and return the Proof
 44 of Claim, to see if you qualify.

THE SETTLEMENT BENEFITS – WHAT YOU GET

6. What does the Settlement provide?

The Settlement provides that, in exchange for the release of the Released Plaintiff's Claims (defined below) and dismissal of the Action, Defendants have agreed to pay (or cause to be paid by their insurers) \$39 million in cash to be distributed after taxes, tax expenses, notice and claims administration expenses, and approved fees and expenses, *pro rata*, to Class Members who send in a valid Proof of Claim pursuant to the Court-approved Plan of Allocation. The Plan of Allocation is described in more detail at the end of this Notice.

7. How much will my payment be?

Your share of the Net Settlement Fund will depend on several things, including the total value of HP common stock represented by the valid Proofs of Claim that Class Members send in, compared to the value of your claim, all as calculated under the Plan of Allocation discussed below.

HOW YOU GET A PAYMENT – SUBMITTING A PROOF OF CLAIM

8. How can I get a payment?

To be eligible to receive a payment from the Settlement, you must submit a Proof of Claim. A Proof of Claim may be downloaded at www.hpqsecuritiessettlement.com. Read the instructions carefully, fill out the Proof of Claim, include all the documents the form asks for, sign it, and **mail it to the Claims Administrator at the address provided in the Proof of Claim or submit it online at www.hpqsecuritiessettlement.com so that it is postmarked or received no later than , 2025.**

9. When would I get my payment?

The Court will hold a Settlement Hearing on _____, 2025, at _____ .m., to decide whether to approve the Settlement. If the Court approves the Settlement, there might be appeals. It is always uncertain whether appeals can be resolved, and if so, how long it would take to resolve them. It also takes time for all the Proofs of Claim to be processed. Please be patient.

10. What am I giving up to get a payment or to stay in the Class?

Unless you timely and validly exclude yourself, you are staying in the Class, and that means you cannot sue, continue to sue, or be part of any other lawsuit against Defendants or the other “Released Defendant Parties” (as defined below) about the “Released Plaintiff’s Claims” (as defined below) in this case. It also means that all of the Court’s orders will apply to you and legally bind you. If you remain a Class Member, and if the Settlement is approved, you will give up all Released Plaintiff’s Claims, including “Unknown Claims” (as defined below), against the “Defendants’ Released Persons” (as defined below):

- “Released Plaintiff’s Claims” means any and all claims and causes of action of every nature and description, whether known or unknown, including Unknown Claims (as defined below), demands, losses, and rights, whether arising under federal, state, common, or foreign law, that relate to (1) the purchase or acquisition of HP common stock during the Class Period; and (2) the conduct, acts, facts, statements, or omissions that were or could have been alleged by Lead Plaintiff in the Litigation. Released Plaintiff’s Claims shall not release or impair: (i) any claims

related to the enforcement of the Settlement; (ii) any claims asserted in *In re HP Derivative Litigation*, Case No. 4:21-cv-04805-JSW (N.D. Cal.); or (iii) any claims of any Person who or which submits a request for exclusion that is accepted by the Court.

- “Released Defendants’ Claims” means any and all claims and causes of action of every nature and description whatsoever, including both known claims and Unknown Claims (as defined below), whether arising under federal, state, common, or foreign law, against Releasing Plaintiff Parties (as defined below) that arise out of or relate in any way to the institution, prosecution, or settlement of the claims against Defendants in the Litigation, except for claims relating to the enforcement of the Settlement.
- “Released Defendant Party” or “Released Defendant Parties” or “Defendants’ Released Persons” mean any or all of Defendants and their current and former employers, officers, directors, agents, servants, representatives, parents, affiliates, subsidiaries, divisions, controlling shareholders, joint ventures, related entities, successors, predecessors, assigns, assignees, partnerships, partners, trustees, advisors, auditors, immediate family members, insurers, indemnifiers, reinsurers, employees, attorneys (including Defendants’ Counsel), principals, trusts, members, representatives, estates, estate managers, bankers, consultants, experts, accountants, auditors, and each of their respective heirs, executors, administrators, successors and assigns, in their capacities as such, and any entity in which any Defendant has or had a controlling interest. The Released Defendant Parties other than the Defendants themselves are intended as third party beneficiaries of this Settlement with respect to the release of the Released Plaintiffs’ Claims.
- “Releasing Plaintiff Party” or “Releasing Plaintiff Parties” mean Lead Plaintiff, all other plaintiffs in the Litigation, their respective attorneys, each and every Class Member, and each of their current and former officers, directors, agents, parents, affiliates, divisions, subsidiaries, successors, predecessors, assigns, assignees, employees, attorneys, insurance carriers, indemnifiers, reinsurers, controlling shareholders, joint ventures, related entities, partnerships, partners, trustees, heirs, principals, trusts, executors, administrators, members, representatives, estates, estate managers, advisors, bankers, consultants, experts, accountants, auditors, in their capacities as such, immediate family members, and any entity in which Lead Plaintiff or any Class Member has or had a controlling interest.
- “Unknown Claims” means: (a) any and all Released Plaintiff’s Claims that the Releasing Plaintiff Parties do not know or suspect to exist in his, her, or its favor at the time of the release of such claims; and (b) any and all Released Defendants’ Claims that any of the Released Defendant Parties do not know or suspect to exist in his, her, or its favor at the time of the release of such claims, and including, without limitation, those that, if known by him, her, or it, might have affected his, her, or its decision(s) with respect to this Settlement. Unknown Claims include, without limitation, those claims in which some or all of the facts composing the claim may be unsuspected, undisclosed, concealed, or hidden. With respect to: (a) any and all Released Plaintiff’s Claims against the Released Defendant Parties; and

(b) any and all Released Defendants' Claims against the Releasing Plaintiff Parties, the Settling Parties stipulate and agree that, upon the Effective Date, the Settling Parties shall expressly waive, and each Releasing Plaintiff Party and Released Defendant Party shall be deemed to have, and by operation of the Judgment shall have expressly waived, any and all provisions, rights, and protections conferred by California Civil Code §1542 and by any law of any state or territory of the United States, or principle of common law or foreign law, that is similar, comparable, or equivalent to California Civil Code §1542, which provides:

A general release does not extend to claims that the creditor or releasing party does not know or suspect to exist in his or her favor at the time of executing the release and that, if known by him or her, would have materially affected his or her settlement with the debtor or released party.

The Releasing Plaintiff Parties and Released Defendant Parties acknowledge that they may hereafter discover facts, legal theories, or authorities in addition to or different from those which he, she, it, or their counsel now knows or believes to be true with respect to the subject matter of the Released Plaintiff's Claims or Released Defendants' Claims, but: (a) the Releasing Plaintiff Parties shall expressly, fully, finally, and forever waive, compromise, settle, discharge, extinguish, and release, and each Releasing Plaintiff Party shall be deemed to have waived, compromised, settled, discharged, extinguished, and released, and upon the Effective Date, and by operation of the Judgment shall have waived, compromised, settled, discharged, extinguished, and released, fully, finally, and forever, any and all Released Plaintiff's Claims against the Released Defendant Parties, known or unknown, suspected or unsuspected, contingent or non-contingent, accrued or unaccrued, whether or not concealed or hidden, which now exist, or heretofore have existed, or may hereafter exist, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law, or rule, without regard to the subsequent discovery or existence of such different or additional facts, legal theories, or authorities; and (b) the Released Defendant Parties shall expressly, fully, finally, and forever waive, compromise, settle, discharge, extinguish, and release, and each Released Defendant Party shall be deemed to have waived, compromised, settled, discharged, extinguished, and released, and upon the Effective Date, and by operation of the Judgment shall have waived, compromised, settled, discharged, extinguished, and released, fully, finally, and forever, any and all Released Defendants' Claims against Lead Plaintiff, the Class, and Plaintiffs' Counsel, known or unknown, suspected or unsuspected, contingent or non-contingent, whether or not concealed or hidden, which now exist, or heretofore have existed, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law or rule, without regard to the subsequent discovery or existence of such different or additional facts, legal theories, or authorities. The Parties acknowledge, and the Releasing Plaintiff Parties and Released Defendant Parties shall be deemed by operation of law to have acknowledged, that the foregoing waiver was separately bargained for and a key element of the Settlement of which this release is a part.

EXCLUDING YOURSELF FROM THE CLASS

If you do not want to participate in this Settlement, and you want to keep the right to potentially sue the Defendants and the other Released Defendant Parties, on your own, about the EX 1 - NOTICE OF PENDENCY AND PROPOSED

1 claims being released by the Settlement, then you must take steps to remove yourself from the
 2 Class. This is called excluding yourself – or is sometimes referred to as “opting out.” If you are
 3 requesting exclusion because you want to bring your own lawsuit based on the matters alleged in
 4 this Action, you may want to consult an attorney and discuss whether any individual claim that
 5 you may wish to pursue would be time-barred by the applicable statutes of limitation or repose.

11. How do I get out of the Class and the proposed Settlement?

5 To exclude yourself from the Class and the Settlement, you must send a letter by First-
 6 Class Mail stating that you “request exclusion from the Class in the *HP Securities Settlement*.
 7 Your letter must include your purchases or acquisitions of HP common stock during the Class
 8 Period, including the dates and the number of shares of HP common stock you: (a) owned as of
 9 the opening of trading on November 5, 2015; and (b) purchased, otherwise acquired, and/or sold
 10 during the Class Period, as well as the price paid for each such purchase or other acquisition and
 11 received for each such sale. In addition, you must include your name, address, telephone number,
 12 and your signature. You must submit your exclusion request so that it is **postmarked no later
 13 than _____, 2025** to:

14 *HP Securities Settlement*
 15 Claims Administrator
 16 c/o Verita Global
 17 ATTN: EXCLUSIONS
 18 P.O. Box 5100
 19 Larkspur, CA 94977-5100

20 If you ask to be excluded, you will not get any payment from the Settlement, and you
 21 cannot object to the Settlement. You will not be legally bound by anything that happens in this
 22 lawsuit, and you may be able to sue the Defendants and the other Released Defendant Parties about
 23 the Released Plaintiff’s Claims in the future.

**12. If I do not exclude myself, can I sue the Defendants and the other Released
 13 Defendant Parties for the same thing later?**

24 No. Unless you exclude yourself, you give up any rights you may potentially have to sue
 25 the Defendants and the other Released Defendant Parties for any and all Released Plaintiff’s
 26 Claims. If you have a pending lawsuit against the Released Defendant Parties, speak to your
 27 lawyer in that case immediately. You may need to exclude yourself from the Class in this Action
 28 to continue your own lawsuit. Remember, the exclusion deadline is _____, 2025.

13. If I exclude myself, can I get money from the proposed Settlement?

22 No. If you exclude yourself, you should not send in a Proof of Claim to ask for any money.
 23 But you may have the right to potentially sue or be part of a different lawsuit against the Defendants
 24 and the other Released Defendant Parties.

THE LAWYERS REPRESENTING YOU

14. Do I have a lawyer in this case?

25 The Court ordered that the law firm of Robbins Geller Rudman & Dowd LLP represents
 26 the Class Members, including you. These lawyers are called Lead Counsel. If you want to be
 27 represented by your own lawyer, you may hire one at your own expense.

1 **15. How will the lawyers be paid?**

2 Lead Counsel will apply to the Court for an award of attorneys' fees not to exceed thirty
 3 percent (30%) of the Settlement Amount and for expenses, costs, and charges in an amount not to
 4 exceed \$250,000 in connection with prosecuting the Action, plus interest on such fees and
 5 expenses at the same rate as earned by the Settlement Fund. In addition, Lead Plaintiff may seek
 6 an award not to exceed \$7,500 in connection with its representation of the Class. Such sums as
 7 may be approved by the Court will be paid from the Settlement Fund.

8 **OBJECTING TO THE SETTLEMENT**

9 You can tell the Court that you do not agree with the Settlement or any part of it.

10 **16. How do I tell the Court that I object to the proposed Settlement?**

11 If you are a Class Member, you can comment on or object to the proposed Settlement, the
 12 proposed Plan of Allocation, and/or Lead Counsel's fee and expense application. You can ask the
 13 Court to deny approval by filing an objection. You can't ask the Court to order a different
 14 settlement; the Court can only approve or reject the Settlement. If the Court denies approval, no
 15 settlement payments will be sent out, and the lawsuit will continue. If that is what you want to
 16 happen, you should object. You can write to the Court setting out your comment or objection.
 17 The Court will consider your views. All written objections and supporting papers must: (i) clearly
 18 identify the case name and number (*York County on Behalf of County of York Retirement Fund v.*
 19 *HP Inc., et al.*, No. 4:20-cv-07835-JSW); (ii) be submitted to the Court either by mailing them to
 20 the Clerk of the Court, United States District Court for the Northern District of California, Oakland
 21 Division, 1301 Clay Street, Oakland, CA 94612, or by filing them in person at any location of the
 22 United States District Court for the Northern District of California; and (iii) be filed or postmarked
 23 on or before _____, 2025. Include your name, address, telephone number, and your
 24 signature (even if you are represented by counsel), including the number of shares of HP common
 25 stock you: (a) owned as of the opening of trading on November 5, 2015; and (b) purchased,
 26 otherwise acquired, and/or sold during the Class Period, as well as the dates and prices for each
 27 such purchase, other acquisition or sale, and state with specificity your comments or the reasons
 28 why you object to the proposed Settlement, Plan of Allocation, and/or fee and expense application,
 1 including any legal and evidentiary support for such objection. Any objection must state whether
 2 it applies only to the objector, to a specific subset of the Class, or to the entire Class. You must
 3 also identify all other class action settlements in which you or your counsel have filed objections
 4 in the past five years. You must also include copies of documents demonstrating all of your
 5 purchase(s), acquisitions, and/or sale(s) of HP common stock during the Class Period.

6 **17. What is the difference between objecting and excluding?**

7 Objecting is simply telling the Court that you do not like something about the Settlement.
 8 You can object **only** if you stay in the Class.

9 Excluding yourself is telling the Court that you do not want to recover money from the
 10 Settlement and do not want to release any claims you think you may have against Defendants and
 11 the other Released Defendant Parties. If you exclude yourself, you cannot object to the Settlement
 12 because it does not affect you.

13 **THE COURT'S SETTLEMENT HEARING**

14 The Court will hold a hearing to decide whether to approve the proposed Settlement. You
 15 may attend and you may ask to speak, but you do not have to.

1 **18. When and where will the Court decide whether to approve the proposed**
 2 **Settlement?**

3 The Court will hold a Settlement Hearing at : _____.m., on _____, 2025, before
 4 the Honorable Jeffrey S. White, at the United States District Court for the Northern District of
 5 California, Oakland Division, 1301 Clay Street, Oakland, CA 94612. At the hearing, the Court
 6 will consider whether the Settlement and the Plan of Allocation are fair, reasonable, and adequate.
 7 If there are objections, the Court will consider them, even if you do not ask to speak at the hearing.
 8 The Court will listen to people who have asked to speak at the hearing. The Court may also decide
 9 how much Lead Counsel and Lead Plaintiff will be paid. After the Settlement Hearing, the Court
 10 will decide whether to approve the Settlement and the Plan of Allocation. We do not know how
 11 long these decisions will take. You should be aware that the Court may change the date and time
 12 of the Settlement Hearing without another notice being sent to Class Members. If you want to
 13 attend the hearing, you should check with Lead Counsel or the Settlement website,
 14 www.hpqsecuritiessettlement.com, beforehand to be sure that the date and/or time has not
 15 changed.

16 **19. Do I have to attend the hearing?**

17 No. Lead Counsel will answer any questions the Court may have. But, you are welcome
 18 to attend at your own expense. If you send an objection, you do not have to come to Court to talk
 19 about it. As long as you mailed your written objection on time, the Court will consider it. You
 20 may also pay your own lawyer to attend, but it is not necessary. Class Members do not need to
 21 appear at the hearing or take any other action to indicate their approval.

22 **20. May I speak at the hearing?**

23 If you object to the Settlement, the Plan of Allocation, and/or the fee and expense
 24 application, you may ask the Court for permission to speak at the Settlement Hearing. To do so,
 25 you must include with your objection (see question 16 above) a statement saying that it is your
 26 “Notice of Intention to Appear in the *HP Securities Settlement*. Persons who intend to object to
 27 the Settlement, the Plan of Allocation, and/or any awards to Lead Counsel or Lead Plaintiff and
 28 desire to present evidence at the Settlement Hearing must include in their written objections the
 29 identity of any witnesses they may call to testify and any exhibits they intend to introduce into
 30 evidence at the Settlement Hearing. Your notice of intention to appear must be **filed or**
 31 **postmarked no later than _____, 2025.**

32 You cannot speak at the hearing if you exclude yourself from the Class.

33 **IF YOU DO NOTHING**

34 **21. What happens if I do nothing?**

35 If you do nothing, you will not receive any money from this Settlement. In addition, unless
 36 you exclude yourself, you will not be able to start a lawsuit, continue with a lawsuit, or be part of
 37 any other lawsuit against Defendants and/or the other Released Defendant Parties about the
 38 Released Plaintiff’s Claims in this case.

GETTING MORE INFORMATION

22. How do I get more information?

This Notice contains only a summary of the terms of the proposed Settlement. For even more detailed information concerning the matters involved in this Action, you can obtain answers to common questions regarding the proposed Settlement by contacting the Claims Administrator toll-free at 888-808-1639. Reference is also made to the Settlement Agreement, to the pleadings in support of the Settlement, to the Orders entered by the Court, and to the other Settlement related papers filed in the Action, which are posted on the Settlement website at www.hpqsecuritiessettlement.com. You may also access the Court docket in this case, for a fee, through the Court's Public Access to Court Electronic Records (PACER) system at <https://ecf.cand.uscourts.gov>, or by visiting the office of the Clerk of the Court for the United States District Court for the Northern District of California, Oakland Division, 1301 Clay Street, Oakland, CA 94612, between 9:00 a.m. and 4:00 p.m., Monday through Friday, excluding Court holidays.

PLAN OF ALLOCATION OF NET SETTLEMENT FUND AMONG CLASS MEMBERS

The Settlement Amount of \$39 million together with any interest earned thereon is the “Settlement Fund.” The Settlement Fund, less all taxes, tax expenses, notice and claims administration expenses, and approved fees and expenses (the “Net Settlement Fund”) shall be distributed to Class Members who submit timely and valid Proofs of Claim to the Claims Administrator (“Authorized Claimants”). The Plan of Allocation provides that you will be eligible to participate in the distribution of the Net Settlement Fund only if you have an overall net loss on all of your transactions in HP common stock during the Class Period.

The objective of the Plan of Allocation is to equitably distribute the Net Settlement Fund among Class Members based on their respective alleged economic losses resulting from the securities law violations alleged in the Action.

The Plan of Allocation is intended to compensate investors who purchased or otherwise acquired HP common stock between November 5, 2015, and June 21, 2016, inclusive, have held through the issuance of at least one corrective disclosure, and have a “Recognized Loss Amount” as described below.³ In this case, Lead Plaintiff alleges that Defendants made false statements and omitted material facts during the Class Period, which had the effect of artificially inflating the prices of HP common stock. Lead Plaintiff alleges that corrective information allegedly impacted the price of HP stock (the “corrective disclosure”) on November 25, 2015, January 7, 2016, February 25, 2016, and June 22, 2016.

The Plan of Allocation is not a formal damages analysis. The Recognized Loss Amount is not intended to estimate the amount a Class Member may have been able to recover after a trial, nor to estimate the amount you will receive. It is a formula for allocating the Net Settlement Fund among all Authorized Claimants. The allocation below is based on the following inflation per common share amounts for Class Period share purchases and sales as well as the statutory PSLRA 90-day look-back amount of \$13.90 per HP common share.⁴

³ Any transactions in HP common stock executed outside regular trading hours for the U.S. financial markets shall be deemed to have occurred during the next trading session.

⁴ "In any private action arising under this Act in which the plaintiff seeks to establish damages by reference to the market price of a security, the award of damages to the plaintiff shall not exceed the difference between the purchase or sale price paid or received, as appropriate, by the plaintiff EX 1 - NOTICE OF PENDENCY AND PROPOSED

1 Lead Plaintiff developed the Plan of Allocation in consultation with Lead Plaintiff's
 2 damages consultant. In developing the Plan of Allocation, Lead Plaintiff's damages consultant
 3 calculated the estimated amount of alleged artificial inflation in the price of HP common stock that
 4 was allegedly proximately caused by Defendants' alleged materially false and misleading
 5 statements and omissions. In calculating the estimated inflationary impact of those
 6 misrepresentations and omissions, Lead Plaintiff's damages consultant considered the price
 7 changes in HP common stock in reaction to the relevant alleged corrective disclosures, adjusting
 8 the price change for factors that were attributable to market or industry forces and other non-fraud
 9 related factors. Defendants had no role in the creation of the Plan of Allocation.

10 In order to have recoverable damages under the federal securities laws, disclosures of the
 11 alleged misrepresentations and/or omissions must be a cause of the decline in the price of the
 12 security. In this Action, Lead Plaintiff alleges that corrective information allegedly impacting the
 13 price of HP common stock and determined to result in potentially recoverable damages (the
 14 "corrective disclosure") was released to the market on November 25, 2015, January 7, 2016,
 15 February 25, 2016, and June 22, 2016. In order to have a "Recognized Loss Amount" under the
 16 Plan of Allocation, HP common stock must have been purchased or acquired during the Class
 17 Period and held through the issuance of at least one corrective disclosure.

18 As detailed below, the Net Settlement Fund will be allocated on a *pro rata* basis according
 19 to recognized claims for class member damages.

20 Based on the formulas stated below, a "Recognized Loss Amount" will be calculated for
 21 each purchase or acquisition of HP common stock during the Class Period that is listed on the
 22 Proof of Claim and for which adequate documentation is provided. If a Recognized Loss Amount
 23 calculates to a negative number or zero under the formulas below, that Recognized Loss Amount
 24 will be zero.

25 For each share of HP publicly traded common stock purchased or otherwise acquired from
 26 November 5, 2015, through June 21, 2016, and:

- 27 (a) Sold prior to November 25, 2015, the Recognized Loss Amount will be \$0.00;
- 28 (b) Sold on November 25, 2015 through June 21, 2016, inclusive, the Recognized Loss
 Amount will be *the lesser of*: (i) the decline in inflation during the holding period
 (as presented in Table 1 below), and (ii) the purchase price minus the sale price;
- 29 (c) Sold from June 22, 2016 through September 19, 2016, the Recognized Loss Amount
 will be *the least of*: (i) the decline in inflation during the holding period (as
 presented in Table 1 below), (ii) the purchase price minus the sale price, or (iii) the
 purchase price minus the average closing price between June 22, 2016 and the date
 of sale (as stated in Table 2 below).

30 for the subject security and the mean trading price of that security during the 90-day period
 31 beginning on the date on which the information correcting the misstatement or omission that is the
 32 basis for the action is disseminated to the market." Consistent with §28(D)(e)(1) of the Exchange
 33 Act, Recognized Loss Amounts for HP common stock are reduced to an appropriate extent by
 34 taking into account the closing prices of HP common stock during the 90-day look-back period.
 35 The mean (average) closing price for HP common stock during this 90-day look-back period was
 36 \$13.90 per share as shown in Table 2.

(d) Held as of the close of trading on September 19, 2016, the Recognized Loss Amount will be *the lesser of*: (i) the decline in inflation during the holding period (as presented in Table 1 below), and (ii) the purchase price minus \$13.90, the average closing price for HP common stock between June 22, 2016 and September 19, 2016 (the last entry in Table 2 below).

TABLE 1
Decline in Inflation Per Share by Date of Purchase and Date Of Sale

	Sale Date				
Purchase Date	11/5/2015 - 11/24/2015	11/25/2015 - 1/6/2016	1/7/2016 - 2/24/2016	2/25/2016 - 6/21/2016	Sold on or Retained Beyond 6/22/2016
11/5/2015 - 11/24/2015	\$0.00	\$2.05	\$2.22	\$2.86	\$3.57
11/25/2015 - 1/6/2016		\$0.00	\$0.17	\$0.81	\$1.52
1/7/2016 - 2/24/2016			\$0.00	\$0.64	\$1.35
2/25/2016 - 6/21/2016				\$0.00	\$0.71
Purchased on or Retained Beyond 6/22/2016					\$0.00

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2 TABLE 2
34
5 HP Common Stock Average Closing Prices
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Average Closing Price Between June 22, 2016 and			Average Closing Price Between June 22, 2016 and		
Date	Closing Price	Date Shown	Date	Closing Price	Date Shown
6/22/2016	\$12.61	\$12.61	8/5/2016	\$14.28	\$13.42
6/23/2016	\$12.95	\$12.78	8/8/2016	\$14.26	\$13.44
6/24/2016	\$12.26	\$12.61	8/9/2016	\$14.25	\$13.47
6/27/2016	\$11.55	\$12.34	8/10/2016	\$14.19	\$13.49
6/28/2016	\$11.79	\$12.23	8/11/2016	\$14.30	\$13.51
6/29/2016	\$12.18	\$12.22	8/12/2016	\$14.28	\$13.53
6/30/2016	\$12.55	\$12.27	8/15/2016	\$14.52	\$13.56
7/1/2016	\$12.73	\$12.33	8/16/2016	\$14.41	\$13.58
7/5/2016	\$12.36	\$12.33	8/17/2016	\$14.50	\$13.60
7/6/2016	\$12.60	\$12.36	8/18/2016	\$14.51	\$13.62
7/7/2016	\$12.85	\$12.40	8/19/2016	\$14.42	\$13.64
7/8/2016	\$13.08	\$12.46	8/22/2016	\$14.37	\$13.66
7/11/2016	\$13.16	\$12.51	8/23/2016	\$14.57	\$13.68
7/12/2016	\$13.72	\$12.60	8/24/2016	\$14.40	\$13.70
7/13/2016	\$13.81	\$12.68	8/25/2016	\$14.37	\$13.71
7/14/2016	\$13.80	\$12.75	8/26/2016	\$14.39	\$13.73
7/15/2016	\$13.85	\$12.81	8/29/2016	\$14.43	\$13.74
7/18/2016	\$13.94	\$12.88	8/30/2016	\$14.38	\$13.75
7/19/2016	\$14.05	\$12.94	8/31/2016	\$14.37	\$13.77
7/20/2016	\$14.16	\$13.00	9/1/2016	\$14.50	\$13.78
7/21/2016	\$14.00	\$13.05	9/2/2016	\$14.49	\$13.79
7/22/2016	\$14.11	\$13.10	9/6/2016	\$14.63	\$13.81
7/25/2016	\$14.16	\$13.14	9/7/2016	\$14.69	\$13.83
7/26/2016	\$14.18	\$13.19	9/8/2016	\$14.63	\$13.84
7/27/2016	\$13.99	\$13.22	9/9/2016	\$14.07	\$13.84
7/28/2016	\$13.94	\$13.25	9/12/2016	\$14.49	\$13.86
7/29/2016	\$14.01	\$13.27	9/13/2016	\$14.18	\$13.86
8/1/2016	\$14.18	\$13.31	9/14/2016	\$14.27	\$13.87
8/2/2016	\$14.06	\$13.33	9/15/2016	\$14.50	\$13.88
8/3/2016	\$14.31	\$13.36	9/16/2016	\$14.53	\$13.89
8/4/2016	\$14.15	\$13.39	9/19/2016	\$14.56	\$13.90

For Class Members who held HP common stock at the beginning of the Class Period or made multiple purchases, acquisitions, or sales during the Class Period, the First-In, First-Out (“FIFO”) method will be applied to such holdings, purchases, acquisitions, and sales for purposes of calculating a claim. Under the FIFO method, sales of HP common stock during the Class Period will be matched, in chronological order, first against HP common stock held at the beginning of the Class Period. The remaining sales of HP common stock during the Class Period will then be matched, in chronological order, against HP common stock purchased or acquired during the Class Period.

1 A Class Member will be eligible to receive a distribution from the Net Settlement Fund
 2 only if a Class Member had a net overall loss, after all profits from transactions in all HP common
 3 stock described above during the Class Period are subtracted from all losses. However, the
 4 proceeds from sales of HP common stock that have been matched against HP common stock held
 at the beginning of the Class Period will not be used in the calculation of such net loss. No
 distributions will be made to Authorized Claimants who would otherwise receive a distribution of
 less than \$10.00.

5 If a claimant had a market gain with respect to their overall transactions in HP common
 6 stock during the Class Period, the value of the claimant's Recognized Loss Amount will be zero.
 7 If a claimant suffered an overall market loss with respect to their overall transactions in HP
 common stock during the Class Period, but that market loss was less than the claimant's total
 8 Recognized Loss Amount calculated above, then the claimant's Recognized Loss Amount will be
 limited to the amount of the actual market loss. For purposes of determining whether a claimant
 9 had a market gain, or suffered a market loss, with respect to a claimant's overall transactions of
 HP common stock during the Class Period, the Claims Administrator will determine the difference
 between, the claimant's (i) Total Purchase Amount⁵ and (ii) the sum of the Total Sales Proceeds⁶
 and Holding Value.⁷

10 A purchase, acquisition, or sale of HP common stock shall be deemed to have occurred on
 11 the "contract" or "trade" date as opposed to the "settlement" or "payment" date. All purchase,
 12 acquisition, and sale prices shall exclude any fees and commissions. The receipt or grant by gift,
 devise, or operation of law of HP common stock during the Class Period shall not be deemed a
 13 purchase, acquisition, or sale of HP common stock for the calculation of a claimant's recognized
 claim nor shall it be deemed an assignment of any claim relating to the purchase or acquisition of
 14 such share unless specifically provided in the instrument of gift or assignment. The receipt of HP
 common stock during the Class Period in exchange for securities of any other corporation or entity
 shall not be deemed a purchase or acquisition of HP common stock.

15 The date of covering a "short sale" is deemed to be the date of purchase or acquisition of
 16 HP common stock. The date of a "short sale" is deemed to be the date of sale of HP common
 17 stock. Under the Plan of Allocation, however, the Recognized Loss Amounts on "short sales" is
 zero. In the event that a claimant has an opening short position in HP common stock, their earliest
 18 Class Period purchases or acquisition of HP common stock will be matched against the opening
 short position, and not be entitled to a recovery, until that short position is fully covered.

19 Distributions will be made to Authorized Claimants after all claims have been processed,
 20 after the Court has finally approved the Settlement, and after any appeals are resolved. If there is
 21 any balance remaining in the Net Settlement Fund after at least six (6) months from the initial date
 of distribution of the Net Settlement Fund (whether by reason of tax refunds, uncashed checks, or

22 ⁵ The "Total Purchase Amount" is the total amount the claimant paid (excluding commissions
 and other charges) for HP common stock purchased or otherwise acquired during the Class Period.

23 ⁶ The Claims Administrator will match any sales of HP common stock from the start of the Class
 24 Period through and including the close of trading on June 21, 2016, first against the claimant's
 25 opening position (the proceeds of those sales will not be considered for purposes of calculating
 market gains or losses). The total amount received (excluding commissions and other charges) for
 26 the remaining sales of HP common stock sold from the start of the Class Period through and
 including the close of trading on June 21, 2016, will be the "Total Sales Proceeds."

27 ⁷ The Claims Administrator will ascribe a "Holding Value" equal to \$12.61 for each share of
 28 HP common stock purchased or acquired during the Class Period and still held as of the close of
 trading on June 21, 2016.

1 otherwise), the Claims Administrator shall, if feasible, reallocate such balance among Authorized
 2 Claimants in an equitable and economic fashion. These redistributions shall be repeated until the
 3 balance remaining in the Net Settlement Fund is no longer economically feasible to distribute to
 Class Members. Thereafter, any balance that still remains in the Net Settlement Fund shall be
 donated to non-sectarian, not for profit organization(s), to be recommended by Lead Counsel.

4 Please contact the Claims Administrator or Lead Counsel if you disagree with any
 5 determinations made by the Claims Administrator regarding your Proof of Claim. If you are
 6 dissatisfied with the determinations, you may ask the Court, which retains jurisdiction over all
 Class Members and the claims administration process, to decide the issue by submitting a written
 request for review.

7 The Court has reserved jurisdiction to allow, disallow, or adjust the claim of any Class
 Member on equitable grounds.
 8

9 Payment pursuant to the Plan of Allocation set forth above shall be conclusive against all
 10 Authorized Claimants. Defendants, their respective counsel, and all other Released Defendant
 Parties will have no responsibility or liability whatsoever for the investment of the Settlement
 Fund, the distribution of the Net Settlement Fund, the Plan of Allocation, or the payment of any
 11 claim. No Person shall have any claim against Lead Plaintiff, Lead Counsel, the Claims
 Administrator, or other Person designated by Lead Counsel, Defendants, or Defendants' Counsel
 12 based on distributions made substantially in accordance with the Stipulation and the Settlement
 contained therein, the Plan of Allocation, or further orders of the Court. All Class Members who
 fail to complete and submit a valid and timely Proof of Claim shall be barred from participating in
 13 distributions from the Net Settlement Fund (unless otherwise ordered by the Court), but otherwise
 shall be bound by all of the terms of the Stipulation, including the terms of any judgment entered
 14 and the releases given.

15 **SPECIAL NOTICE TO SECURITIES BROKERS AND OTHER NOMINEES**

16 If you purchased or acquired HP common stock during the Class Period for the beneficial
 17 interest of an individual or organization other than yourself, the Court has directed that, WITHIN
 18 SEVEN (7) CALENDAR DAYS OF YOUR RECEIPT OF THE SUMMARY NOTICE, you
 either (a) provide to the Claims Administrator the name and last known email or physical address
 19 of each person or organization for whom or which you purchased or acquired such HP common
 stock during such time period, or (b) request additional copies of the Summary Notice, which will
 be provided to you free of charge, and within seven (7) calendar days send via email or regular
 mail where an email address is not available, mail the Summary Notice directly to the beneficial
 20 owners of the HP common stock referred to herein. If you choose to follow alternative procedure
 (b), upon such mailing, you must send a statement to the Claims Administrator confirming that the
 21 email was sent or the mailing was made as directed and retain the names, email addresses or
 physical addresses for any future mailings to Class Members. You are entitled to reimbursement
 22 from the Settlement Fund of your reasonable expenses actually incurred in connection with the
 foregoing, including reimbursement of postage expense and the cost of ascertaining the names and
 23 addresses of beneficial owners. Reasonable out-of-pocket expenses actually incurred in
 connection with the foregoing includes up to \$0.03 for providing names, addresses, and email
 24 addresses to the Claim Administrator per record; up to a maximum of \$0.03 per Summary Notice
 emailed or mailed by you, plus postage at the rate used by the Claims Administrator. Your
 25 reasonable expenses will be paid upon request and submission of appropriate supporting
 documentation. All communications concerning the foregoing should be addressed to the Claims
 26 Administrator at:
 27
 28

1 *HP Securities Settlement*
2 Claims Administrator
3 c/o Verita Global
4 P.O. Box 301135
5 Los Angeles, CA 90030-1135

6
7 DATED:

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9 BY ORDER OF THE COURT
10 UNITED STATES DISTRICT COURT
11 NORTHERN DISTRICT OF CALIFORNIA

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EXHIBIT 2

1 ROBBINS GELLER RUDMAN
2 & DOWD LLP
2 DARREN J. ROBBINS (168593)
3 ELLEN GUSIKOFF STEWART (144892)
3 DARRYL J. ALVARADO (253213)
4 RACHEL A. COCALIS (312376)
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5 655 West Broadway, Suite 1900
5 San Diego, CA 92101
5 Telephone: 619/231-1058
6 619/231-7423 (fax)
7 darrenr@rgrdlaw.com
7 elleng@rgrdlaw.com
7 dalvarado@rgrdlaw.com
8 rcocalis@rgrdlaw.com
8 afolkerth@rgrdlaw.com

10 Lead Counsel for Lead Plaintiff Maryland Electrical
Industry Pension Fund and Named Plaintiff York County on
behalf of the County of York Retirement Fund

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
OAKLAND DIVISION

15 YORK COUNTY ON BEHALF OF THE) Case No. 4:20-cv-07835-JSW (LJC)
COUNTY OF YORK RETIREMENT FUND,)
Individually and on Behalf of All Others)
16 Similarly Situated,) CLASS ACTION
17) PROOF OF CLAIM AND RELEASE
Plaintiff,)
18 vs.) EXHIBIT 2
19 HP INC., et al.,)
20 Defendants.)

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I. GENERAL INSTRUCTIONS

1. To recover as a Member of the Class based on your claims in the action entitled *York County on Behalf of the County of York Retirement Fund v. HP Inc., et al.*, No. 4:20-cv-07835-JSW (the “Action” or the “Litigation”), you must complete and, on page ___ hereof, sign this Proof of Claim and Release (“Claim Form”). If you fail to submit a properly addressed (as set forth in paragraph 3 below) Claim Form, postmarked or received by the date shown below, your claim may be rejected and you may be precluded from any recovery from the Net Settlement Fund created in connection with the proposed settlement of the Action (the “Settlement”).¹

2. Submission of this Claim Form, however, does not assure that you will share in the proceeds of the Settlement.

3. YOU MUST MAIL OR SUBMIT ONLINE YOUR COMPLETED AND SIGNED CLAIM FORM, ACCOMPANIED BY COPIES OF THE DOCUMENTS REQUESTED HEREIN, NO LATER THAN _____, 2025, TO THE COURT-APPOINTED CLAIMS ADMINISTRATOR IN THIS CASE, AT THE FOLLOWING ADDRESS:

*HP Securities Settlement
Claims Administrator
c/o Verita Global
P.O. Box 301135
Los Angeles, CA 90030-1135*

Do not mail or deliver your Claim Form to the Court, the Parties to the Action, or their counsel. Submit your Claim Form only to the Claims Administrator at the address set forth above. If you are NOT a Member of the Class (as defined below and in the Notice of Pendency and Proposed Settlement of Class Action (the “Notice”)), DO NOT submit a Claim Form.

4. If you are a Member of the Class and you do not request exclusion, you will be bound by the terms of any judgment entered in the Action, including the releases provided therein,
WHETHER OR NOT YOU SUBMIT A CLAIM FORM

¹ This Claim Form incorporates by reference the definitions in the Stipulation of Settlement (“Stipulation”), which can be obtained at www.hpqsecuritiessettlement.com.

1 5. It is important that you completely read and understand the Notice that is available
 2 on www.hpqsecuritiessettlement.com, including the Plan of Allocation of the Net Settlement Fund
 3 set forth in the Notice. The Notice describes the proposed Settlement, how the Class Members are
 4 affected by the Settlement, and the manner in which the Net Settlement Fund will be distributed if
 5 the Settlement and Plan of Allocation are approved by the Court. The Notice (as well as the
 6 Stipulation) also contains the definitions of many of the defined terms (which are indicated by
 7 initial capital letters) used in this Claim Form. By signing and submitting this Claim Form, you
 8 will be certifying that you have read and that you understand the Notice, including the terms of the
 9 releases described therein and provided for herein.

10 **II. CLAIMANT IDENTIFICATION**

11 You are a Member of the Class if you purchased or otherwise acquired HP Inc. (“HP” or
 12 the “Company”) common stock during the period between November 5, 2015, and June 21, 2016,
 13 inclusive, and were allegedly damaged thereby (the “Class Period”). Excluded from the Class are:
 14 Defendants and their families, the officers and directors of the Company, at all relevant times,
 15 members of their immediate families and their legal representatives, heirs, successors or assigns,
 16 and any entity in which Defendants have or had a controlling interest. Also excluded from the
 17 Class is any Person who would otherwise be a Member of the Class but who validly and timely
 18 requests exclusion in accordance with the requirements set by the Court.

19 Use Part I of this Claim Form entitled “Claimant Identification” to identify each purchaser
 20 or acquirer of record (“nominee”), if different from the beneficial purchaser or acquirer of the HP
 21 common stock which forms the basis of this claim. THIS CLAIM MUST BE FILED BY THE
 22 ACTUAL BENEFICIAL PURCHASER(S) OR ACQUIRER(S) OR THE LEGAL
 23 REPRESENTATIVE OF SUCH PURCHASER(S) OR ACQUIRER(S) OF THE HP COMMON
 24 STOCK UPON WHICH THIS CLAIM IS BASED.

25 All joint purchasers or acquirers must sign this Claim Form. Executors, administrators,
 26 guardians, conservators, and trustees must complete and sign this Claim Form on behalf of persons
 27 represented by them and their authority must accompany this Claim Form and their titles or
 28 capacities must be stated. The last four digits of the Social Security number (or full taxpayer

1 identification number) and telephone number of the beneficial owner may be used in verifying the
2 claim. Failure to provide the foregoing information could delay verification of your claim or result
3 in rejection of the claim.

4 If you are acting in a representative capacity on behalf of a Member of the Class (for
5 example, as an executor, administrator, trustee, or other representative), you must submit evidence
6 of your current authority to act on behalf of that Member of the Class. Such evidence would
7 include, for example, letters testamentary, letters of administration, or a copy of the trust
8 documents.

9 One Claim Form should be submitted for each separate legal entity. Separate Claim Forms
10 should be submitted for each separate legal entity (e.g., a claim from joint owners should not
11 include separate transactions of just one of the joint owners, and an individual should not combine
12 his or her IRA transactions with transactions made solely in the individual's name). Conversely,
13 a single Claim Form should be submitted on behalf of one legal entity, including all transactions
14 made by that entity on one Claim Form, no matter how many separate accounts that entity has
15 (e.g., a corporation with multiple brokerage accounts should include all transactions made in all
16 accounts on one Claim Form).

17 NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of
18 transactions may request to, or may be requested to, submit information regarding their
19 transactions in electronic files. All claimants MUST submit a manually signed paper Claim Form
20 listing all their transactions whether or not they also submit electronic copies. If you wish to file
21 your Claim Form electronically, you must contact the Claims Administrator at
22 edata@veritaglobal.com to obtain the mandatory file layout. Any file not in accordance with the
23 required electronic filing format will be subject to rejection. Only one Claim Form should be
24 submitted for each legal entity (*see above*) and the **complete** name of the beneficial owner(s) of
25 the securities must be entered where called for. No electronic files will be considered to have been
26 properly submitted unless the Claims Administrator issues to the claimant a written
27 acknowledgement of receipt and acceptance of electronically submitted data. Do not assume that
28 your file has been received until you receive this notification. If you do not receive such an email

1 within 10 days of your submission you should contact the electronic filing department at
2 edata@veritaglobal.com to inquire about your file and confirm it was received.

3 **III. CLAIM FORM**

4 Use Part II of this form entitled "Schedule of Transactions in HP Common Stock" of this
5 Claim Form to supply all required details of your transaction(s) in HP common stock. If you need
6 more space or additional schedules, attach separate sheets giving all of the required information in
7 substantially the same form. Sign and print or type your name on each additional sheet.

8 On the schedules, provide all of the requested information with respect to *all* of your
9 holdings, purchases or acquisitions, and *all* of your sales of HP common stock, whether such
10 transactions resulted in a profit or a loss. Failure to report all such transactions may result in the
11 rejection of your claim.

12 List these transactions separately and in chronological order, by trade date, beginning with
13 the earliest. You must accurately provide the month, day, and year of each transaction you list.

14 For short-sale transactions, the date of covering a "short sale" is deemed to be the date of
15 purchase of HP common stock, and the date of a "short sale" is deemed to be the date of sale of
16 HP common stock.

17 For each transaction, you must provide, together with this Claim Form, copies of
18 stockbroker confirmation slips, stockbroker statements, or other documents adequately evidencing
19 your transactions in HP common stock. If any such documents are not in your possession, please
20 obtain a copy or equivalent documents from your broker because these documents are necessary
21 to prove and process your claim. Failure to provide this documentation could delay verification
22 of your claim or result in rejection of your claim. **THE PARTIES DO NOT HAVE**
23 **INFORMATION ABOUT YOUR TRANSACTIONS IN HP COMMON STOCK.**

24 PLEASE NOTE: As set forth in the Plan of Allocation, each Authorized Claimant shall
25 receive his, her, or its *pro rata* share of the Net Settlement Fund. If the prorated payment to any
26 Authorized Claimant calculates to less than \$10.00, it will not be included in the calculation and
27 no distribution will be made to that Authorized Claimant.

28

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

York County on Behalf of the County of York Retirement Fund v. HP Inc., et al.

Case No. 4:20-cv-07835-JSW

PROOF OF CLAIM AND RELEASE

Must Be Postmarked (if mailed) or Received (if submitted online) No Later Than:

_____ , 2025

Please Type or Print

10 **REMEMBER TO ATTACH COPIES OF BROKER CONFIRMATIONS OR**
11 **OTHER DOCUMENTATION OF YOUR TRANSACTIONS IN HP COMMON STOCK.**
12 **FAILURE TO PROVIDE THIS DOCUMENTATION COULD DELAY VERIFICATION**
13 **OF YOUR CLAIM OR RESULT IN REJECTION OF YOUR CLAIM.**

PART I: CLAIMANT IDENTIFICATION	
Last Name <input type="text"/>	M.I. First Name <input type="text"/>
Last Name (Co-Beneficial Owner) <input type="text"/>	M.I. First Name (Co-Beneficial Owner) <input type="text"/>
<input type="radio"/> IRA <input type="radio"/> Joint Tenancy <input type="radio"/> Employee	<input type="radio"/> Individual <input type="radio"/> Other _____ (specify) _____
Company Name (Beneficial Owner - If Claimant is not an Individual) or Custodian Name if an IRA <input type="text"/>	
Trustee/Asset Manager/Nominee/Record Owner's Name (If Different from Beneficial Owner Listed Above) <input type="text"/>	
Account#/Fund# (Not Necessary for Individual Filers) <input type="text"/>	

LAST 4 DIGITS OF
SOCIAL SECURITY NUMBER

Taxpayer Identification Number
or —

Telephone Number (Primary Daytime)

Telephone Number (Alternate)

Email Address

MAILING INFORMATION		
Address		
Address		
City	State	Zip Code
Foreign Province	Foreign Postal Code	Foreign Country Name/Abbreviation

1 PART II: SCHEDULE OF TRANSACTIONS IN HP COMMON STOCK

- 2 A. Number of shares of HP common stock held at the close of trading on November
 3 4, 2015: _____

4 Proof Enclosed?

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 Y
 N

- 5 B. Purchases or acquisitions of HP common stock between November 5, 2015, and
 6 September 19, 2016, inclusive:²

Trade Date Month Day Year	Number of Shares Purchased or Acquired	Total Purchase or Acquisition Price
1. _____	1. _____	1. _____
2. _____	2. _____	2. _____
3. _____	3. _____	3. _____

- 12 C. Sales of HP common stock between November 5, 2015, and September 19, 2016,
 13 inclusive:

SALES										Total Sales Price (Excluding Commissions, Taxes and Fees) Please round off to the nearest whole dollar	Proof of Sales Enclosed?
Trade Date(s) of Shares (List Chronologically)				Number of Shares Sold							
M	M	D	D	Y	Y	Y	Y	\$	0	0	
1.	_____	/	_____	/	_____	_____	_____	\$	_____	_____	<input type="radio"/> Y <input type="radio"/> N
2.	_____	/	_____	/	_____	_____	_____	\$	_____	_____	<input type="radio"/> Y <input type="radio"/> N
3.	_____	/	_____	/	_____	_____	_____	\$	_____	_____	<input type="radio"/> Y <input type="radio"/> N
4.	_____	/	_____	/	_____	_____	_____	\$	_____	_____	<input type="radio"/> Y <input type="radio"/> N

- 20 D. Number of shares of HP common stock held at the close of trading on June 21,
 21 2016:

22 Proof Enclosed?

--	--	--	--	--	--	--

 Y
 N

- 22 E. Number of shares of HP common stock held at the close of trading on September
 23 19, 2016:

24 Proof Enclosed?

--	--	--	--	--	--	--

 Y
 N

26 ² Information requested about your purchases or acquisitions on June 22, 2016 through and
 27 including the close of trading on September 19, 2016 is needed only in order for the Claims
 28 Administrator to confirm that you have reported all relevant transactions. Purchases or
 acquisitions during this period are not eligible for a recovery because they were made outside the
 Class Period.

If you require additional space, attach extra schedules in the same format as above. Sign and print your name on each additional page.

YOU MUST READ AND SIGN THE RELEASE ON PAGE _____. FAILURE TO SIGN THE RELEASE MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.

IV. SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS

I (We) submit this Claim Form under the terms of the Stipulation described in the Notice. I (We) also submit to the jurisdiction of the United States District Court for the Northern District of California with respect to my (our) claim as a Member of the Class and for purposes of enforcing the releases set forth herein. I (We) further acknowledge that I am (we are) bound by and subject to the terms of the Stipulation and any judgment that may be entered in the Action, including the releases and the covenants set forth herein. I (We) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (We) have not submitted any other claim covering the same purchases, acquisitions, or sales of HP common stock during the Class Period and know of no other Person having done so on my (our) behalf.

V. RELEASES

1. I (We) hereby acknowledge full and complete satisfaction of, and do hereby fully, finally, and forever waive, compromise, settle, discharge, extinguish, and release from the "Released Plaintiff's Claims" (as defined below) each and all of the "Released Defendant Parties" (as defined below).

2. "Released Plaintiff's Claims" means any and all claims and causes of action of every nature and description, whether known or unknown, including Unknown Claims (as defined below), demands, losses, and rights, whether arising under federal, state, common, or foreign law, that relate to (1) the purchase or acquisition of HP common stock during the Class Period; and (2) the conduct, acts, facts, statements, or omissions that were or could have been alleged by Lead Plaintiff in the Litigation. Released Plaintiff's Claims shall not release or impair: (i) any claims

1 related to the enforcement of the Settlement; (ii) any claims asserted in *In re HP Derivative*
2 *Litigation*, Case No. 4:21-cv-04805-JSW (N.D. Cal.); or (iii) any claims of any Person who or
3 which submits a request for exclusion that is accepted by the Court.

4 3. “Released Defendants’ Claims” means any and all claims and causes of action of
5 every nature and description whatsoever, including both known claims and Unknown Claims (as
6 defined below), whether arising under federal, state, common, or foreign law, against Releasing
7 Plaintiff Parties that arise out of or relate in any way to the institution, prosecution, or settlement
8 of the claims against Defendants in the Litigation, except for claims relating to the enforcement of
9 the Settlement.

10 4. “Released Defendant Party” or “Released Defendant Parties” or “Defendants’
11 Released Persons” mean any or all of Defendants and their current and former employers, officers,
12 directors, agents, servants, representatives, parents, affiliates, subsidiaries, divisions, controlling
13 shareholders, joint ventures, related entities, successors, predecessors, assigns, assignees,
14 partnerships, partners, trustees, advisors, auditors, immediate family members, insurers,
15 indemnifiers, reinsurers, employees, attorneys (including Defendants’ Counsel), principals, trusts,
16 members, representatives, estates, estate managers, bankers, consultants, experts, accountants,
17 auditors, and each of their respective heirs, executors, administrators, successors and assigns, in
18 their capacities as such, and any entity in which any Defendant has or had a controlling interest.
19 The Released Defendant Parties other than the Defendants themselves are intended as third party
20 beneficiaries of this Settlement with respect to the release of the Released Plaintiff’s Claims.

21 5. “Releasing Plaintiff Party” or “Releasing Plaintiff Parties” mean Lead Plaintiff, all
22 other plaintiffs in the Litigation, their respective attorneys, each and every Class Member, and
23 each of their current and former officers, directors, agents, parents, affiliates, divisions,
24 subsidiaries, successors, predecessors, assigns, assignees, employees, attorneys, insurance carriers,
25 indemnifiers, reinsurers, controlling shareholders, joint ventures, related entities, partnerships,
26 partners, trustees, heirs, principals, trusts, executors, administrators, members, representatives,
27 estates, estate managers, advisors, bankers, consultants, experts, accountants, auditors, in their
28

1 capacities as such, immediate family members, and any entity in which Lead Plaintiff or any Class
 2 Member has or had a controlling interest.

3 6. “Unknown Claims” means: (a) any and all Released Plaintiff’s Claims that the
 4 Releasing Plaintiff Parties do not know or suspect to exist in his, her, or its favor at the time of the
 5 release of such claims; and (b) any and all Released Defendants’ Claims that any of the Released
 6 Defendant Parties do not know or suspect to exist in his, her, or its favor at the time of the release
 7 of such claims, and including, without limitation, those that, if known by him, her, or it, might
 8 have affected his, her, or its decision(s) with respect to this Settlement. Unknown Claims include,
 9 without limitation, those claims in which some or all of the facts composing the claim may be
 10 unsuspected, undisclosed, concealed, or hidden. With respect to: (a) any and all Released
 11 Plaintiff’s Claims against the Released Defendant Parties; and (b) any and all Released
 12 Defendants’ Claims against the Releasing Plaintiff Parties, the Settling Parties stipulate and agree
 13 that, upon the Effective Date, the Settling Parties shall expressly waive, and each Releasing
 14 Plaintiff Party and Released Defendant Party shall be deemed to have, and by operation of the
 15 Judgment shall have expressly waived, any and all provisions, rights, and protections conferred by
 16 California Civil Code §1542 and by any law of any state or territory of the United States, or
 17 principle of common law or foreign law, that is similar, comparable, or equivalent to California
 18 Civil Code §1542, which provides:

19 A general release does not extend to claims that the creditor or releasing
 20 party does not know or suspect to exist in his or her favor at the time of executing
 21 the release and that, if known by him or her, would have materially affected his or
 her settlement with the debtor or released party.

22 The Releasing Plaintiff Parties and Released Defendant Parties acknowledge that they may
 23 hereafter discover facts, legal theories, or authorities in addition to or different from those which
 24 he, she, it, or their counsel now knows or believes to be true with respect to the subject matter of
 25 the Released Plaintiff’s Claims or Released Defendants’ Claims, but: (a) the Releasing Plaintiff
 26 Parties shall expressly, fully, finally, and forever waive, compromise, settle, discharge, extinguish,
 27 and release, and each Releasing Plaintiff Party shall be deemed to have waived, compromised,
 28 settled, discharged, extinguished, and released, and upon the Effective Date, and by operation of

1 the Judgment shall have waived, compromised, settled, discharged, extinguished, and released,
2 fully, finally, and forever, any and all Released Plaintiff's Claims against the Released Defendant
3 Parties, known or unknown, suspected or unsuspected, contingent or non-contingent, accrued or
4 unaccrued, whether or not concealed or hidden, which now exist, or heretofore have existed, or
5 may hereafter exist, upon any theory of law or equity now existing or coming into existence in the
6 future, including, but not limited to, conduct which is negligent, intentional, with or without
7 malice, or a breach of any duty, law, or rule, without regard to the subsequent discovery or
8 existence of such different or additional facts, legal theories, or authorities; and (b) the Released
9 Defendant Parties shall expressly, fully, finally, and forever waive, compromise, settle, discharge,
10 extinguish, and release, and each Released Defendant Party shall be deemed to have waived,
11 compromised, settled, discharged, extinguished, and released, and upon the Effective Date, and by
12 operation of the Judgment shall have waived, compromised, settled, discharged, extinguished, and
13 released, fully, finally, and forever, any and all Released Defendants' Claims against Lead
14 Plaintiff, the Class, and Plaintiffs' Counsel, known or unknown, suspected or unsuspected,
15 contingent or non-contingent, whether or not concealed or hidden, which now exist, or heretofore
16 have existed, upon any theory of law or equity now existing or coming into existence in the future,
17 including, but not limited to, conduct which is negligent, intentional, with or without malice, or a
18 breach of any duty, law or rule, without regard to the subsequent discovery or existence of such
19 different or additional facts, legal theories, or authorities. The Parties acknowledge, and the
20 Releasing Plaintiff Parties and Released Defendant Parties shall be deemed by operation of law to
21 have acknowledged, that the foregoing waiver was separately bargained for and a key element of
22 the Settlement of which this release is a part.

23 7. These releases shall be of no force or effect unless and until the Court approves the
24 Stipulation and the Settlement becomes effective on the Effective Date.

25 8. I (We) hereby warrant and represent that I (we) have not assigned or transferred or
26 purported to assign or transfer, voluntarily or involuntarily, any claim or matter released pursuant
27 to this release or any other part or portion thereof.

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1 9. I (We) hereby warrant and represent that I (we) have included information about
2 all of my (our) purchases, acquisitions, and sales of HP common stock that occurred during the
3 relevant period and the number of shares of HP common stock held by me (us) at the close of
4 trading on November 4, 2015, June 21, 2016, and September 19, 2016.

5 I (We) declare under penalty of perjury under the laws of the United States of America that
6 the foregoing information supplied by the undersigned is true and correct.

Executed this _____ day of _____ in _____
(Month/Year) (City/State/Country)

(Sign your name here) _____ (Sign your name here)

[View Details](#) | [Edit](#) | [Delete](#)

(Type or print your name here) _____ (Type or print your name here)

(Capacity of person(s) signing, e.g.,
Beneficial Purchaser, Executor or Administrator)

**ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME.
THANK YOU FOR YOUR PATIENCE.**

Reminder Checklist:

1. Please sign the above release and declaration.
 2. If this Claim is being made on behalf of Joint Claimants, then both must sign.
 3. Remember to attach copies of supporting documentation, if available.
 4. Do not send originals of certificates.
 5. Keep a copy of your claim form and all supporting documentation for your records.
 6. If you desire an acknowledgment of receipt of your claim form please send it Certified Mail, Return Receipt Requested.
 7. If you move, please send your new address to the address below.
 8. Do not use red pen or highlighter on the Proof of Claim and Release form or supporting documentation.

THIS CLAIM FORM MUST BE SUBMITTED ONLINE OR MAILED NO LATER THAN [REDACTED], 2025, ADDRESSED AS FOLLOWS:

*HP Securities Settlement
Claims Administrator
c/o Verita Global
P.O. Box 301135
Los Angeles, CA 90030-1135
www.hpqsecuritiessettlement.com*

EXHIBIT 3

1 ROBBINS GELLER RUDMAN
2 & DOWD LLP
2 DARREN J. ROBBINS (168593)
3 ELLEN GUSIKOFF STEWART (144892)
3 DARRYL J. ALVARADO (253213)
4 RACHEL A. COCALIS (312376)
4 T. ALEX B. FOLKERTH (338140)
5 655 West Broadway, Suite 1900
5 San Diego, CA 92101
5 Telephone: 619/231-1058
6 619/231-7423 (fax)
7 darrenr@rgrdlaw.com
7 elleng@rgrdlaw.com
7 dalvarado@rgrdlaw.com
8 rcocalis@rgrdlaw.com
8 afolkerth@rgrdlaw.com

10 Lead Counsel for Lead Plaintiff Maryland Electrical
Industry Pension Fund and Named Plaintiff York County on
behalf of the County of York Retirement Fund

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
OAKLAND DIVISION

15 YORK COUNTY ON BEHALF OF THE) Case No. 4:20-cv-07835-JSW (LJC)
COUNTY OF YORK RETIREMENT FUND,)
Individually and on Behalf of All Others) CLASS ACTION
16 Similarly Situated,)
17 Plaintiff,) SUMMARY NOTICE OF PROPOSED
18 vs.) SETTLEMENT OF CLASS ACTION
19 HP INC., et al.,) EXHIBIT 3
20 Defendants.)

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1 **TO: ALL PERSONS WHO PURCHASED OR OTHERWISE ACQUIRED HP INC.**
 2 **(“HP”) COMMON STOCK BETWEEN NOVEMBER 5, 2015, AND JUNE 21, 2016,**
 3 **INCLUSIVE (“CLASS” OR “CLASS MEMBERS”)**

4 **THIS NOTICE WAS AUTHORIZED BY THE COURT. IT IS NOT A LAWYER**
 5 **SOLICITATION. PLEASE READ THIS NOTICE CAREFULLY AND IN ITS**
 6 **ENTIRETY.**

7 YOU ARE HEREBY NOTIFIED that a hearing will be held on _____, 2025, at ___:
 8 .m., before the Honorable Jeffrey S. White at the United States District Court, Northern District
 9 of California, Ronald V. Dellums Federal Building & United States Courthouse, Courtroom 5, 2nd
 10 Floor, 1301 Clay Street, Oakland, CA 94612, to determine whether: (1) the proposed settlement
 11 (the “Settlement”) of the above-captioned action as set forth in the Stipulation of Settlement
 12 (“Stipulation”)¹ for \$39,000,000 in cash should be approved by the Court as fair, reasonable, and
 13 adequate; (2) the Judgment as provided under the Stipulation should be entered dismissing the
 14 Action with prejudice; (3) to award Lead Counsel attorneys’ fees and expenses out of the
 15 Settlement Fund (as defined in the Notice of Pendency and Proposed Settlement of Class Action
 16 (“Notice”), which is discussed below), and, if so, in what amounts; (4) to award Lead Plaintiff
 17 pursuant to 15 U.S.C. §78u-4(a)(4) in connection with its representation of the Class; and (5) the
 18 Plan of Allocation should be approved by the Court as fair, reasonable, and adequate.

19 IF YOU ARE A MEMBER OF THE CLASS, YOUR RIGHTS WILL BE AFFECTED
 20 BY THE SETTLEMENT OF THIS ACTION, AND YOU MAY BE ENTITLED TO SHARE IN
 21 THE NET SETTLEMENT FUND.

22 To share in the distribution of the Net Settlement Fund, you must establish your rights by
 23 submitting a Proof of Claim and Release form (“Proof of Claim”) by mail (**postmarked no later**
 24 **than _____, 2025**) or electronically via the website (**no later than _____, 2025**).
 25 Failure to submit your Proof of Claim by _____, 2025, will subject your claim to rejection
 26 and preclude you from receiving any of the recovery in connection with the Settlement of this
 27 Action. If you purchased or acquired HP common stock between November 5, 2015, and June 21,
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1 This Summary Notice incorporates by reference the definitions in the Stipulation, which
 2 can be viewed and/or obtained at www.hpqsecuritiessettlement.com.

1 2016, inclusive, and were allegedly damaged thereby, and do not request exclusion from the Class,
2 you will be bound by the Settlement and any judgment and releases entered in the Action,
3 including, but not limited to, the Judgment, whether or not you submit a Proof of Claim.

4 You may review the Notice, which more completely describes the Settlement and your
5 rights thereunder (including your right to object to the Settlement), access the Proof of Claim, and
6 find the Stipulation (which, among other things, contains definitions for the defined terms used in
7 this Summary Notice) and other Settlement documents, online at
8 www.hpqsecuritiessettlement.com, or by writing to:

9 *HP Securities Settlement*
10 c/o Verita Global
P.O. Box 301135
11 Los Angeles, CA 90030-1135

12 Inquiries should NOT be directed to Defendants, the Court, or the Clerk of the Court.

13 Inquiries, other than requests for the Notice or for a Proof of Claim, may be made to Lead
14 Counsel:

15 ROBBINS GELLER RUDMAN & DOWD LLP
Ellen Gusikoff Stewart
16 655 West Broadway, Suite 1900
San Diego, CA 92101
17 Telephone: 800/449-4900
settlementinfo@rgrdlaw.com

18 IF YOU DESIRE TO BE EXCLUDED FROM THE CLASS, YOU MUST SUBMIT A
19 REQUEST FOR EXCLUSION SUCH THAT IT IS **POSTMARKED BY _____, 2025**, IN
20 THE MANNER AND FORM EXPLAINED IN THE NOTICE. ALL CLASS MEMBERS WILL
21 BE BOUND BY THE SETTLEMENT EVEN IF THEY DO NOT SUBMIT A TIMELY PROOF
22 OF CLAIM.

23 IF YOU ARE A CLASS MEMBER, YOU HAVE THE RIGHT TO OBJECT TO THE
24 SETTLEMENT, THE PLAN OF ALLOCATION, AND/OR THE REQUEST BY LEAD
25 COUNSEL FOR AN AWARD OF ATTORNEYS' FEES NOT TO EXCEED 30% OF THE
26 SETTLEMENT AMOUNT AND EXPENSES NOT TO EXCEED \$250,000, PLUS INTEREST
27 ON BOTH AMOUNTS, AND AN AWARD TO LEAD PLAINTIFF NOT TO EXCEED \$7,500.

1 ANY OBJECTIONS MUST BE FILED WITH THE COURT BY _____, 2025, IN THE
2 MANNER AND FORM EXPLAINED IN THE NOTICE.

3 DATED: _____

BY ORDER OF THE COURT
UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

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